SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OVAL									
3235-0287									
Estimated average burden									
0.5									

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
Filed pursuant to Section 10(a) of the Securities Excitatinge Act of 1934
or Section 30(h) of the Investment Company Act of 1940
Of Section So(ii) of the investment Company Act of 1940

1. Name and Address of Reporting Person*				er Name and Ticke		/mbol S CORP /DE/	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
DARNIS GERAUD			-			x	Director Officer (give title below)	10% C Other below	(specify			
(Last) ONE FINANCI	3. Date 01/03/	of Earliest Transad 2012	ction (Month/D	ay/Year)		Pres. & CEO, UTC Climate, Cont						
(Street)			4. If Am	nendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing (Check Ap	plicable		
HARTFORD	СТ	06101					X	Form filed by One	e Reporting Perso	on		
(City)	(State)	(Zip)						Form filed by Mor Person	e than One Repo	orting		
		Table I - No	n-Derivative S	ecurities Acq	uired, Dis	oosed of, or Benefic	ially C)wned				
1. Title of Security	(Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A)	urities Acquired (A) or 5. Amount of 6. Owners			7. Nature of		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (1 8)	ction	4. Securities A Disposed Of (I		3, 4 and 5)	Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		Derivative Securities Acquired (A) or Disposed of (D) (Instr.		ive Expiration Date (Month/Day/Year) ed (A) losed Instr.		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)				
Stock Appreciation Right	\$74.66	01/03/2012		A		114,500		01/03/2015	01/02/2022	Common Stock	114,500	\$0.0000	114,500 ⁽¹⁾	D			

Explanation of Responses:

1. The reporting person was also awarded 30,300 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year time period.

<u>/s/ Charles F. Hildebrand as</u> <u>Attorney-in-Fact</u>

01/05/2012

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.