SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Section 1	is box if no long .6. Form 4 or F ns may continu n 1(b).	orm 5	STAT		ed purs	uant to	o Section	16(a)	of the Se	ecurit	NEFICIA ies Exchang mpany Act o	je Act of 19	_	HIP	Estim		er: 3 rerage burden sponse:	0.5
1. Name and Address of Reporting Person* Azevedo Roy				2. Issuer Name and Ticker or Trading Symbol <u>RAYTHEON TECHNOLOGIES CORP</u> [<u>RTX</u>]						(Che	5. Relationship of Reporting Person (Check all applicable) Director X Officer (give title				on(s) to Issuer 10% Owner Other (specify			
(Last) (First) (Middle) 870 WINTER STREET				3. Date of Earliest Transaction (Month/Day/Year) 02/15/2022							A below) below) President, RIS							
(Street) WALTHAI (City)	M MA	-	2451 Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person													
		Tabl	e I - Noi	n-Deriv	/ative	Sec	urities	Acc	quired,	Dis	posed of	, or Ben	eficially	v Owned				
1. Title of Security (Instr. 3) 2. Trans. Date (Month/L				Day/Year) Execution		A. Deemed execution Date, any Month/Day/Year)		3. Transaction Code (Instr. 8) 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) 5)				5. Amoun Securities Beneficia Owned Fe Reported	s Form Illy (D) ollowing (I) (I		rm: Direct In or Indirect E (Instr. 4) C	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				insu: 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3) 2. Conversion Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8) Code (Instr. 4) Code (Instr. 5) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year) Derivative Sec (Instr. 3 and 4)			ies g Security	8. Price of Derivative Security (Instr. 5)		e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)						
					Code	v	(A)	(D)	Date Exercisa	ble	Expiration Date	Title	Amount or Number of Shares					
Stock Appreciation Right	\$94.04	02/15/2022			A		96,700		02/15/20)25	02/14/2032	Common Stock	96,700	\$94.04	96,700)(1)	D	

Explanation of Responses:

1. This number reflects only stock appreciation rights (SARs) with these specific grant details and does not include other SARs. In addition to the SARs and not included in this number, the reporting person was also awarded 31,905 performance share units (PSUs) under the RTX Long-Term Incentive Plan. Each PSU has a value equal to one share of RTX Common Stock. These PSUs vest solely upon achievement of pre-established performance goals for RTX's return on invested capital, earnings per share growth and total shareholder return relative to the S&P 500 and aerospace & defense peer companies over a three year performance period.

/s/ Dana Ng as Attorney-In-Fact 02/17/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.