SEC Form 4
------------

Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287

OMB Number:	3235-0287
Estimated average burde	n
hours per response:	0.5

Filed pursuant to Section	on 16(a) of the	e Securities	Exchange Act o	f 1934

led	pursuant to	Section :	16(a)	of the S	Securities	s Excl	nange /	Act of	1934
	or Section	1 30(h) of	the Ir	vestm	ent Comp	anv A	Act of 1	940	

1. Name and Address of Reporting Person*						2. Issuer Name and Ticker or Trading Symbol RAYTHEON TECHNOLOGIES CORP								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Wood Michael J     RAY THEON TECHNOLOGIES CORP [       RTX ]     RTX ]											(give title		10% Ov Other (s					
(Last) 870 WINT	(Firs T <mark>ER STRE</mark> E		Date of 2/08/20		Trans	action (Mo	onth/[	Day/Year)				below) Corp		and	below) Controller			
(Street)	4.	If Amer	ndment, D	Date o	f Original I	Filed	(Month/Da	y/Yea	ar)	Line								
WALTHA	M MA	L U.	2451										· ·		,	•	0	I
(City)	(Sta	te) (Z	ip)		Form filed by More than One Reporting Person								ung					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date			ransactior e nth/Day/Ye	ear)   E	A. Deeme Execution f any Month/Day	Date,	3. Transac Code (I 8)		4. Securit Disposed 5)				5. Amoun Securitie Beneficia Owned F Reported	s ally ollowing	Form	: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount		(A) or (D)	Price	Transact (Instr. 3 a	ion(s)			(1150.4)
		Ta	able II - Deri (e.g.							osed of, onvertik				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye:	Cod	Transaction of Code (Instr. Derivative		Transaction Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4		6. Date Exercisable and Expiration Date (Month/Day/Year) Securiti Underlyi Derivatiu (Instr. 3 a		ount of curities derlying rivative s str. 3 and	14)	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie: Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Date		Expiration			Amount or Number of					

				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Number of Shares				
estricted ock Units	(1)	02/08/2021		Α		5,175		(1)	(1)	Common Stock	5,175	\$0.0000	16,431	D	
ock ppreciation ght	\$72.49	02/08/2021		A		9,500		02/08/2024	02/07/2031	Common Stock	9,500	\$72.49	9,500 <sup>(2)</sup>	D	
nlonation															

## Explanation of Responses:

Res Stoc Stoc App Rigi

1. Each Restricted Stock Unit (RSU), including dividend equivalents that accrue during the vesting period, represents a contingent right to receive one share of RTX Common Stock. These RSUs vest on the third anniversary of the date of grant.

2. In addition to the stock appreciation rights (SARs) and not included in this number, the reporting person was also awarded 3,105 performance share units (PSUs) under the RTX Long-Term Incentive Plan. Each PSU has a value equal to one share of RTX Common Stock. These PSUs vest solely upon achievement of pre-established performance goals for RTX's return on invested capital (ROIC), earnings per share (EPS) growth and total shareholder return (TSR) relative to the S&P 500 and aerospace & defense peer companies. The performance period for EPS growth and ROIC is one-year, followed by a two-year holding period. Both TSR goals have a three-year performance period.

<u>/s/ Dana Ng as Attorney-In-</u>	02/10/2021
<u>Fact</u>	02/10/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.