# FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting Person*
**Harkin, Ruth R.**
(Last) (First) (Middle)
**United Technologies Corporation**
**One Financial Plaza**
(Street)
**Hartford, CT 06101**
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
**United Technologies Corporation UTX**

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for (Month/Year)
**November 15, 2002**

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
___ Director ___ 10% Owner
X Officer ___ Other

Officer/Other Description **Senior Vice President, International Affairs and Government Relations**

7. Individual or Joint/Group Filing (Check Applicable Line)
X Individual Filing
__ Joint/Group Filing

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code and Voluntary Code (Instr. 8) | 4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5) | 5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4) | 6. Ownership Form: Direct(D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|
| | | Code \| V | Amount \| A/D \| Price | | | |
| | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(over)
SEC 1474 (3-99)



## Form 4 (continued)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code and Voluntary (V) Code (Instr.8) | 5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5) | 6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr.5) | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr.4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) | 11. Nature of Indirect Beneficial Ownership (Instr.4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code \| V | | (DE) \| (ED) | | | | | |
| **Phantom Stock Unit** | **$0.0000** | **11/15/2002** | **A \|** | **(A) 52.893 (1)** | | **Common Stock - 52.893** | | **4,805.474** | **D** | |
| | | | | | | | | | | |

Explanation of Responses :

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

**By: By: Charles F. Hildebrand, Attorney-in-Fact**
** Signature of Reporting Person Date

**Power of Attorney**





## Form 4 (continued)

### FOOTNOTE Descriptions for United Technologies Corporation UTX

Form 4 - November 2002

**Ruth R. Harkin**
**United Technologies Corporation**
**One Financial Plaza**
**Hartford, CT 06101**

**Explanation of responses:**

**(1) Deferred phantom stock units acquired at the election of the executive under the United Technologies Corporation Deferred Compensation Program, in a transaction exempt under Rule 16-3.**