SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Addre HAYES GRI	1 0	erson*	2. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> UTX]		tionship of Reporting Per all applicable) Director Officer (give title	10% Owner Other (specify
(Last) ONE FINANCI	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 01/02/2014		below) SVP and C	below) CFO
(Street) HARTFORD	СТ	06101	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filin Form filed by One Rep Form filed by More tha Person	orting Person
(City)	(State)	(Zip)	ivative Securities Acquired. Disposed of. or Benef	icially (Owned	

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)		
Common Stock								60,419	D	
Common Stock								4,370	Ι	By Savings Plan Trustee
Common Stock								874	Ι	By Spouse
Common Stock								1,163	I	By Spouse's Savings Plan Account

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(3, parts,,,,,															
1. Title of Derivative Security (Instr. 3)	2. 3. Transaction Date 3A. Deemed Execution Date, if any Derivative Security 4. 5. Numt Transaction Code (Instr. 8) 3. Transaction Date (Month/Day/Year) 1. Month (Month/Day/Year) 5. Numt Transaction (Month/Day/Year) 5. Numt Orivitation Securitian		ive ies ed ed nstr.	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Appreciation Right	\$112.49	01/02/2014		A		71,500		01/02/2017	01/01/2024	Common Stock	71,500	\$112.49	71,500 ⁽¹⁾	D	

Explanation of Responses:

1. The reporting person was also awarded 18,600 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year time period.

<u>/s/ Charles F. Hildebrand as</u>	01/06/2014			
<u>Attorney-in-Fact</u>	01/06/2014			
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.