| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden | | | | | | |
| hours per response: | 0.5 | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Williams Dantaya M | | | | - | | ymbol GIES CORP [| (Check | | | Issuer Owner er (specify | |
|--|-------------------|---------------|--|-------------------------------|-------------------|----------------------|------------------------|--|------------------------------|--------------------------------|--|
| (Last) 870 WINTER S | (First) STREET | (Middle) | 3. Date 02/05 | of Earliest Transa 2022 | ction (Month/E | Day/Year) | X | below) below EVP & Chief HR Office | | v) | |
| (Street) WALTHAM | MA | 02451 | 4. If An | nendment, Date of | Original Filed | (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Form filed by One Form filed by Mor | e Reporting Pers | son | |
| (City) | (State) | (Zip) | | | | | | Person | | | |
| | | Table I - Non | -Derivative S | ecurities Acq | uired, Dis | oosed of, or Benef | icially | Owned | | | |
| 1. Title of Security | y (Instr. 3) | | 2. Transaction Date (Month/Day/Voor) | 2A. Deemed Execution Date, | 3. Transaction | | | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect | |

| | Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Code (Instr. | | | | | Securities Beneficially Owned Following Reported | Form: Direct (D) or Indirect (I) (Instr. 4) | of Indirect Beneficial Ownership (Instr. 4) |
|--------------|--------------------------|---|--------------|---|--------|---------------|---------------------------|---|---|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 02/05/2022 | | М | | 1,415 | A | \$0 ⁽¹⁾ | 7,770.0015 | D | |
| Common Stock | 02/05/2022 | | М | | 1,070 | A | \$0 ⁽¹⁾ | 8,840.0015 | D | |
| Common Stock | 02/05/2022 | | F | | 335 | D | \$93.01 | 8,505.0015 | D | |
| Common Stock | 02/05/2022 | | F | | 492 | D | \$93.01 | 8,013.0015 | D | |
| Common Stock | | | | | | | | 5,836 | I | By Savings Plan Trustee |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) (Disp of (I | oosed D) tr. 3, 4 | Expiration Date (Month/Day/Year) | | | | Expiration Date | | Expiration Date | | Expiration Date | | Expiration Date | | Expiration Date | | Expiration Date | | Expiration Date | | te Amount of | | nount of Derivative curities Security derlying (Instr. 5) rivative Security | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|--|-------------------------|----------------------------------|--------------------|-----------------|--|-----------------|--------|-----------------|--|-----------------|--|-----------------|--|-----------------|--|-----------------|--|-----------------|--|--------------|--|--|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | | | | | | | | | | | | | | |
| Restricted Stock Units | (1) | 02/05/2022 | | М | | | 1,070 | (2) | (2) | Common Stock | 1,070 | \$0.0000 | 24,193 | D | | | | | | | | | | | | | | | | | |
| Restricted Stock Units | (1) | 02/05/2022 | | М | | | 1,415 | (3) | (3) | Common Stock | 1,415 | \$0.0000 | 22,778 | D | | | | | | | | | | | | | | | | | |

Explanation of Responses:

1. Time-based restricted stock units (RSUs) that represent the right to receive one share of the Issuer's Common Stock per unit.

2. Vesting of RSUs and delivery of shares with respect to the RSUs that were converted from performance share units originally awarded on February 5, 2019 under the legacy United Technologies Long-Term Incentive Plan.

3. Vesting of RSUs and delivery of shares with respect to the RSUs originally awarded on February 5, 2019.

| <u>/s/ Dana Ng as Attorney-In-</u> | <u>02/08/2022</u> |
|------------------------------------|-------------------|
| <u>Fact</u> | 02/00/2022 |

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.