BANKERS TRUST NEW YORK CORPORATION One Bankers Trust Plaza New York, New York 10006

Damian P. Reitemeyer Vice President Telephone: (212) 250-4599

February 17, 1998

Securities and Exchange Commission SEC Document Control 450 Fifth Street, N.W. Washington, DC 20549 Attn: Filing Desk

Dear Sirs:

Re: Filing of Schedule 13G on

United Technologies Corporation

Pursuant to Rule 13d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934, the following is one copy of the Schedule 13G with respect to the common stock of the above referenced corporation.

Please acknowledge your receipt of the Schedule 13G filing submission through the EDGAR-Link System software, by E-Mail confirmation.

Sincerely,

Damian P. Reitemeyer

**Enclosures** 

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No. 6)\*

United Technologies Corporation

NAME OF ISSUER: Common Stock (Par Value \$5.00)

TITLE OF CLASS OF SECURITIES 913017109

CUSIP NUMBER

Check the following box if a fee is being paid with this statement []. (A fee is not required only if the filing person: (1) has a previous statement on file reporting beneficial ownership of more than five percent of the class of securities described in Item 1; and (2) has filed no amendment subsequent thereto reporting beneficial ownership of five percent or less of such class.) (See Rule 13d-7.)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(Continued on following page(s)) Page 1 of 10 Pages

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#### 1.NAME OF REPORTING PERSON

## S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Bankers Trust New York Corporation, its wholly owned subsidiary, Bankers Trust Company, its indirect wholly owned subsidiaries, BT Alex.Brown Incorporated (BT Alex.Brown) and Alex. Brown Capital Advisory and Trust Company (Alex. Brown Capital Advisory and Trust); its indirect 50 percent owned affiliate Alex. Brown Investment Management. 13-6180473

## 2.CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP \*

- (A) [
- (B) [X]

#### 3.SEC USE ONLY

#### 4.CITIZENSHIP OR PLACE OF ORGANIZATION

Bankers Trust New York Corporation, and Bankers Trust Company are New York Corporations. BT Alex.Brown is a Delaware Corporation. Alex. Brown Capital Advisory and Trust is a Maryland Corporation. Alex. Brown Investment Management is a Maryland Limited Partnership.

#### NUMBER OF 5. SOLE VOTING POWER

SHARES

Bankers Trust Company 2,552,082 shares BT Alex.Brown 14,300 shares Alex.Brown Capital Advisory and Trust 7,500 shares Alex.Brown Investment

283,150 shares Management 2,857,032 shares

# BENEFICIALLY 6. SHARED VOTING POWER

OWNED BY

4,000 shares Bankers Trust Company BT Alex.Brown 0 shares Alex.Brown Capital Advisory and Trust 0 shares Alex.Brown Investment Management 0 shares 4,000 shares

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## **EACH**

## 7. SOLE DISPOSITIVE POWER

REPORTING

Bankers Trust Company 3,645,742 shares BT Alex.Brown 15,300 shares Alex.Brown Capital Advisory and Trust 7,500 shares

Alex.Brown Investment Management 1,092,116 shares

4,760,658 shares

**PERSON** 8. SHARED DISPOSITIVE POWER

WITH

Bankers Trust Company 6,400 shares BT Alex.Brown 0 shares Alex.Brown Capital 0 shares Advisory and Trust

Alex.Brown Investment

Management 0 shares 6,400 shares

## 9.AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING **PERSON**

Bankers Trust Company 3,652,142 shares BT Alex.Brown 15,300 shares

Alex.Brown Capital Advisory and Trust Alex.Brown Investment Management

1,092,116 shares 4,767,058 shares

7,500 shares

10.CHECK BOX IF THE AGGREGATE AMOUNT IN ROW(9) EXCLUDES CERTAIN SHARES \*

[X]

#### 11.PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

Bankers Trust Company 1.41%
BT Alex.Brown 0.00%
Alex.Brown Capital
Advisory and Trust 0.00%
Alex.Brown Investment
Management 0.42%

Management 0.42% 1.83%

12.TYPE OF REPORTING PERSON \*

Bankers Trust New York Corporation - HC Bankers Trust Company - BK BT Alex.Brown - BD Alex.Brown Capital Advisory and Trust - BK Alex.Brown Investment Management-IA, PN

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#### DISCLAIMER OF BENEFICIAL OWNERSHIP

THE FILING OF THIS SCHEDULE G STATEMENT SHALL NOT BE CONSTRUED AS AN ADMISSION THAT BANKERS TRUST NEW YORK CORPORATION, BANKERS TRUST COMPANY, AS TRUSTEE (THE "BANK"), OR BT ALEX.BROWN, ALEX BROWN CAPITAL ADVISORY AND TRUST, OR ALEX.BROWN INVESTMENT MANAGEMENT, FOR THE PURPOSE OF SECTION 13(g) OF THE SECURITIES AND EXCHANGE ACT OF 1934, OR FOR ANY OTHER PURPOSE, THE BENEFICIAL OWNER OF THE SECURITIES SET FORTH IN ITEM 4(a)(ii) HEREOF.

Item 1(a) NAME OF ISSUER:

United Technologies Corp.

Item 1(b) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

United Technologies Building Hartford, CT 06101-2670

Item 2(a) NAME OF PERSON FILING:

Bankers Trust New York Corporation, its whollyowned subsidiary, Bankers Trust Company; its indirect wholly-owned subsidiaries, BT Alex. Brown and Alex. Brown Capital Advisory and Trust; its indirect 50 percent owned affiliate Alex.Brown Investment Management

## Item 2(b) ADDRESS OF PRINCIPAL BUSINESS OFFICE:

For Bankers Trust New York Corporation, Bankers Trust Company and BT Alex.Brown

130 Liberty Street New York, New York 10006

For Alex.Brown Capital Advisory and Trust

19 South Street Baltimore, Maryland 21202

For Alex.Brown Investment Management

One South Street Baltimore, Maryland 21202

## Item 2(c) CITIZENSHIP:

Bankers Trust New York Corporation and Bankers Trust Company are corporations incorporated in the State of New York with their principal business offices located in New York.

BT Alex.Brown is a Delaware corporation with its principal business office located in New York.

Alex.Brown Capital Advisory and Trust is a Maryland Corporation with its principal business office located in Maryland.

Alex.Brown Investment Management is a Maryland limited partnership with its principal business office located in Maryland.

## Item 2(d) TITLE OF CLASS OF SECURITIES:

Common Stock (\$5.00 par) of United Technologies Corp.

#### Item 2(e) CUSIP NUMBER:

913017109

## Item 3 THE PERSON FILING IS A:

For Bankers Trust New York Corporation

(g) [X] Parent Holding Company, in accordance with Section 240.13d-1(b)(ii)(G)

For Bankers Trust Company

(b) [X] Bank as defined in section 3(a)(6) of the Act.

For BT Alex.Brown

(a) [X] Broker or dealer registered under Section 15 15 of the Act.

For Alex.Brown Capital Advisory and Trust

(b) [X] Bank as defined in section 3(a)(6) of the Act.

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For Alex.Brown Investment Management

(e) [X] Investment Advisor registered under Section 203 of the Investment Advisors Act of 1940

#### Item 4 OWNERSHIP:

(a) Amount Beneficially Owned:

As of December 31, 1997

(i)

Bankers Trust Company BT Alex.Brown Alex.Brown Capital Advisory and Trust Alex.Brown Investment Management 3,652,142 shares 15,300 shares

7,500 shares

1,092,116 shares 4,767,058 shares

(ii) Bankers Trust Company was also the record owner of 26,179,098 shares(\*) held for as Trustee of the United Technologies Corp Employee Savings Plan (the "Plan") with respect to which the bank disclaims beneficial ownership. The Plan states that each Plan participant shall have the right to direct the manner in which shares of common stock

shall be voted at all stockholders' meetings. The Department of Labor has expressed the view that, under certain circumstances, ERISA may require the Trustee to vote shares which are not allocated to participants' accounts. Since, in the view of the Bank and Bankers Trust New York Corporation, such voting power is merely a residual power based upon the occurrence of an unlikely contingency and is not a sole or shared power to vote the securities, the Bank and Bankers Trust New York Corporation hereby disclaim beneficial ownership of such securities.

(\*) This balance includes 26,174,428 shares of common stock which the Plan participants may acquire through the conversion of all outstanding Series A ESOP Convertible Preferred stock held by the Plan, at the December 31, 1997 conversion ratio of 1-to-2.

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### (b) PERCENT OF CLASS:

The common stock described in Item 4(a) above as to which Bankers Trust New York Corporation, Bankers Trust Company,BT Alex.Brown, Alex Brown Capital Advisory and Trust, and Alex.Brown Investment Management acknowledges beneficial ownership constitutes of the following:

Bankers Trust Company
BT Alex.Brown
Alex.Brown Capital
Advisory and Trust
Alex.Brown Investment
Management

The common stock as to which Bankers Trust New York Corporation and Bankers Trust Company disclaims beneficial ownership constitutes 10.1% of the Issuers outstanding Common Stock.

- (c) Number of shares as to which such person has:
- (i) sole power to vote or to direct the vote Bankers Trust Company 2,552,082 shares
  BT Alex.Brown 14,300 shares
  Alex.Brown Capital
  Advisory and Trust 7,500 shares
  Alex.Brown Investment
  Management 283,150 shares
  2,857,032 shares
- (ii) shared power to vote or to direct the vote Bankers Trust Company 4,000 shares
  BT Alex.Brown 0 shares
  Alex.Brown Capital
  Advisory and Trust 0 shares
  Alex.Brown Investment
  Management 0 shares
  4,000 shares
- (iii)sole power to dispose or to direct the disposition
   of -

Bankers Trust Company
BT Alex.Brown
Alex.Brown Capital
Advisory and Trust
Alex.Brown Investment
Management
Management

3,645,742 shares
15,300 shares
7,500 shares
4,760,658 shares
4,760,658 shares

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(iv) shared power to dispose or to direct the disposition of -

Bankers Trust Company BT Alex.Brown Alex.Brown Capital Advisory and Trust Alex.Brown Investment Management

0 shares

0 shares

6,400 shares

0 shares 6,400 shares

Item 5 OWNERSHIP OF FIVE PERCENT OF LESS OF A CLASS:

Not applicable.

OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF Item 6 ANOTHER PERSON:

> The Issuer's Plan and various trusts, and employee benefit plans for which the Bank serves as Trustee, and accounts for which the Bank serves as investment advisor, have the right to receive and/or the power to direct the receipt of dividends from, or the proceeds from the sale of, such securities.

Item 7 IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY:

See Item 3 above.

IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF Ttem 8

THE GROUP:

Not applicable.

Item 9 NOTICE OF DISSOLUTION OF GROUP:

Not applicable.

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Item 10 CERTIFICATION:

> By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purpose or effect.

SIGNATURE:

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

As of December 31, 1997 Date:

Signature: Bankers Trust New York Corporation

/s/James T. Byrne, Jr. By: James T. Byrne, Jr. Name:

Secretary Title:

Signature: Bankers Trust Company, as Trustee for various

employee benefit plans.

/s/James T. Byrne, Jr. Bv: Name: James T. Byrne, Jr.

Title: Secretary

Signature: BT Alex.Brown

By: /s/James T. Byrne, Jr. James T. Byrne, Jr. Name:

Title: Secretary

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Signature: Alex.Brown Capital Advisory and Trust

By: /s/ Gregg Hawes Name: Gregg Hawes

Title: Secretary

Signature: Alex.Brown Investment Management

By: /s/ J. Dorsey Brown, III Name: J. Dorsey Brown, III

Title: Chief Executive Officer

Exhibit A

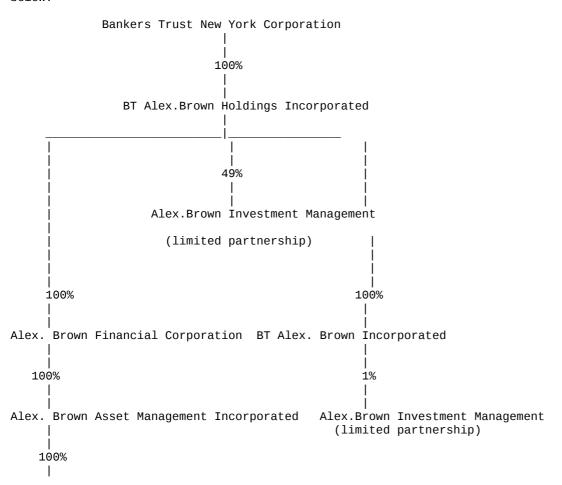
The chain of ownership from Bankers Trust New York Corporation to Bankers Trust Company is shown below:

Bankers Trust New York Corporation

| | 100% | | | Bankers Trust Company

## Exhibit B

The chain of ownership from Bankers Trust New York Corporation to BT Alex. Brown, Alex. Brown Capital Advisory and Trust and Alex.Brown Investment Management is shown below:



| Alex. Brown Capital Advisory and Trust Company