## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Dumais Michael R						2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [ UTX ]									ck all applic Directo	r 10 (give title Of		10% Ov Other (s below)	vner
(Last) (First) (Middle) 10 FARM SPRINGS ROAD						3. Date of Earliest Transaction (Month/Day/Year) 01/30/2019									,	, Operati	ons &	Strategy	
(Street) FARMINGTON CT 06032 (City) (State) (Zip)					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									ividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
1. Title of Security (Instr. 3)  2. Transaction Date					ion	on 2A. Deemed Execution Date,			Acquired, Disposed of, or Bendal 3. Transaction Code (Instr. 3, 4				(A) or		5. Amou Securitie	5. Amount of Securities		: Direct	7. Nature of
				(Month/Day	//Year)	if any (Mont	h/Day/	Year)	Code (	v	Amount	(A) or	Price	<u> </u>	Reported Transact	ollowing d tion(s)		str. 4)	Beneficial Ownership (Instr. 4)
Common S	01/30/2019				M		15,000(1)	(D)	\$	74.66	(Instr. 3	,627		D					
Common S	01/30/2019				S		4,028	D	\$11	9.222(2	31	,599		D					
Common Stock 01/					2019				S		5,592	D	\$11	9.041 <sup>(3</sup>	26	,007		D	
Common Stock 01/30/20					019	19			D		9,408	D	\$1	119.03 16		,599		D	
Common Stock															1,	749		I	By Savings Plan Trustee
		Т	able I								sposed of, , convertib				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	eemed tion Date, n/Day/Year)	4. Transa Code ( 8)				Expi (Mor	ration	ercisable and Date //Year)	7. Title and Am of Securities Underlying Derivative Seci (Instr. 3 and 4)		curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	e s Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisab		Expiration e Date	Title	or No of	ımber					
Stock Appreciation	\$74.66	01/30/2019			М			15,000	01/0	3/2015	5 01/02/2022	Commo		5,000	\$0.0000	15,50	0	D	

## **Explanation of Responses:**

Right

- 1. The Stock Appreciation Rights (SARs) were settled in shares in accordance with terms of the award. For Section 16 reporting purposes, the exercise of SARs for stock is treated as an exempt acquisition of the shares underlying the SARs at the exercise price per share specified in the award of SARs and a simultaneous sale back to the issuer of a number of the underlying shares having a value, based on the market price of the issuer's stock on the date of the exercise, equal to the product of the number of underlying SARs times the exercise price per share.
- 2. The price reported in Column 4 is a weighted average price for shares sold in multiple transactions. The sale prices range from \$119.221 to \$119.230 per share. The reporting person has provided to the issuer, and undertakes to provide to the Commission staff or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range
- 3. The price reported in Column 4 is a weighted average price for shares sold in multiple transactions. The sale prices range from \$119.040 to \$119.0522 per share. The reporting person has provided to the issuer, and undertakes to provide to the Commission staff or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range.

/s/ Ariel R. David as Attorneyin-Fact

01/31/2019

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.