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# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

|  | Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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| ()                     |  |       | or Section 30(h) of the Investment Company Act of 1940                                 |                         |  |   |  |
|------------------------|--|-------|--|-------------------------|--|---|--|
|                        | is of Reporting Persol<br>GH ROBERT J  |       | 2. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> [UTX] |                         | ionship of Reporting Perso<br>all applicable)<br>Director<br>Officer (give title<br>below) | n(s) to Issuer<br>10% Owner<br>Other (specify<br>below) |  |
| Last)<br>10 FARM SPRIN | ) (First) (Middle)<br>ARM SPRINGS ROAD |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/11/2019                         |                         | President, Carrier   |   |  |
| Street)<br>FARMINGTON  | CT                                     | 06032 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                               | 6. Indivi<br>Line)<br>X | dual or Joint/Group Filing (<br>Form filed by One Report                                   |   |  |
| City)                  | (State)                                | (Zip) |  | Λ                       | Form filed by More than C<br>Person  | 0   |  |
|                        | ()                                     | ()    |  |                         |  |   |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code ( | 3.     4. Securities Acquired (A) or       Transaction     Disposed Of (D) (Instr. 3, 4 and 5)       Code (Instr.     3) |                       |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|---------------------------------|--|---|--------|--|-----------------------|---------------|---|---|---|----------|
|                                 |  |   | Code   | v  | Amount                | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)                                |   | (1150.4) |
| Common Stock                    | 02/11/2019                                 |   | Α      |  | 22,203 <sup>(1)</sup> | A             | \$122.72  | 32,704  | D   |          |
| Common Stock                    | 02/11/2019                                 |   | F      |  | 7,520                 | D             | \$122.72  | 25,184  | D   |          |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   |     |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/N | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D) | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

Explanation of Responses:

1. The acquisition of shares of UTC common stock represents the vesting of performance share units (PSUs) previously awarded on January 4, 2016 to the reporting person under the United Technologies Corporation Long-Term Incentive Plan. Each PSU has a value equal to one share of UTC Common Stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's return on invested capital, earnings per share growth and total shareholder return relative to the S&P 500 index over a three-year time period. The performance criteria were satisfied at the 116% level.

> /s/ Ariel R. David as Attorney-02/13/2019 in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.