FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| vvasinigton, | D.C. | 20343 | |
|--------------|------|-------|--|
| | | | |

| Check this box if no longer subject to Section 16. Form 4 or Form 5 | STA |
|--|-----|
| obligations may continue. See Instruction 1(b). | |

ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|---------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average I | burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* DAVID GEORGE AL | | | | | 2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [| | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
|---|---|--|---|--|---|---|---------------|----------------------|---|---|---------------------|---|---|---|---|---|--|--|--|--|
| | | | | UTX] | | | | | | | X | Director | | 10% Owi | | ner | | | | |
| | | | | ۱ ۲ | OIA | | | | | | | | X | | Officer (give title | | Other (specify | | | |
| (Last) | (Firs | , | Middle) | | 3. [| Date o | of Earliest T | ransac | ction (Moi | nth/Da | av/Year) | | | ┪ ゙ | below) | Chairmai | n and (| below) | | |
| | | OGIES CORPO | RATION | | | /26/2 | | | | | ., | | | | ` | اعاداتا | ii aliu v | CEO | | |
| ONE FINANCIAL PLAZA | | | | \vdash | | | | | | | | | _ | | | | | | | |
| | | | | 4.1 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Inc | 6. Individual or Joint/Group Filing (Check Applic Line) | | | | | | |
| (Street) HARTFOR | RD CT | ſ | 06101 | | | | | | | | | | | | Form file | ed by One | by One Reporting Person | | | |
| | <u> </u> | | | | | | | | | | | | | | | d by More than One Reporting | | | | |
| (City) | (Sta | ite) (2 | Zip) | | | | | | | | | | | | Person | | | | | |
| | | Tak | ole I - Nor | n-Deriv | vativ | e Se | curities | Acq | uired, | Disp | osed o | f, or E | 3ene | ficially | Owned | | | | | |
| Date | | | Date | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Disposed | | ties Acquired (A) or d Of (D) (Instr. 3, 4 and 5 | | | Beneficially Owned Following | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | '. Nature of ndirect Beneficial Ownership | | | |
| | | | | | | | | | Code | v | Amount | (A) or (D) Pr | | Price | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common S | tock | | | 12/2 | 6/200 | 2006 | | G | | 100 | 00 D | | \$0 | 1,685,589 | | | D | | | |
| Common Stock 12/2 | | | | 12/2 | 6/200 | /2006 | | G | | 100 | 00 D | | \$0 | 1,685,489 | | | D | | | |
| Common Stock 12/29 | | | | 9/200 | 9/2006 | | G | | 100 D | | \$0 | 1,685,389(1) | | | D | | | | | |
| Common Stock | | | | | | | | | | | | | | 10,12 | 9.281 | | I S | By Savings Plan Trustee | | |
| | | | Table II - | | | | | | | | sed of, onvertib | | | | wned | | , | , | , | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution I if any (Month/Day | Date, | Code (Instr. | | Derivative | | 6. Date Exercisable ar Expiration Date (Month/Day/Year) | | е | of Securities Underlying Derivative Secu (Instr. 3 and 4) | | ecurity | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securitie Beneficia Owned Following Reported Transacti | ive ties cially ing ed | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Γ | | | | T | Date | | Expiration | | 0 | Amount or Jumber | | (Instr. 4) | ion(s) | | | |
| | | | | | Code | v | (A) | | Exercisal | | Date | Title | | of Shares | | | | | | |
| Stock Appreciation Right | \$62.81 | 01/03/2007 | | | A | | 436,000 | | 01/03/20 | 10 | 01/02/2017 | Comn | | 136,000 | \$0 | 436,00 | 0 ⁽²⁾ | D | | |

Explanation of Responses:

- $1.\ The\ reporting\ person\ also\ directly\ owns\ 97,064\ shares\ of\ United\ Technologies\ Career\ Restricted\ Common\ Stock.$
- 2. The reporting person was also awarded 118,000 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

Remarks:

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

01/05/2007

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.