SEC Form 4									
FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 15(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940							OMB APPROVAL	
[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).							Expires: Jan Estimated av		
1. Name and Address of Reporting Person <sup>*</sup> Harkin, Ruth R.		2. Issuer Name and Ticker or Trading Symbol		4. Statement for (Month/Year)		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) United Technologies Corporation One Financial Plaza	(Middle)	United Technologies Corporation UTX 		January 15, 2003		X Of	ector10% Owner Other		
(Street)		Number of R Person, if an (voluntary)		5. If Amendment, Date of Original (Month/Year)		Officer/Other Description Relations	n <u>Senior Vice President, International Affairs and Government</u>		
(City) (State)	(Zip)					7. Individual or Joint/Group Filing (Check Applicable Line) X. Individual Filing Joint/Group Filing			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
I. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 3. Tran Code and Volu Code (Instr Code (Instr	itary	4. Securities Acquired (A) or Disposed (D) Oi (Instr. 3, 4, and 5) 	: Price	5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4	)	6. Owner- ship Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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## Form 4 (continued)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) . Conver-sion or Exercise Price of Deri-vative Security Number of Derivative Securities Acquired (A) or Disposed (D) Of . Date Exercisable(DE) Expiration Date(ED) (Month/Day/Year) . Title and Amount of Underlying Securities (Instr. 3 and 4) Number of Derivative Securities Beneficially Owned at End of Month (Instr.4) 11. Nature of Indirect Beneficial Ownership (Instr.4) . Title of Derivative Security (Instr. 3) 10. Owner-ship Form of Deriv-ative Security: Direct (D) or . Transa Date . Price of Derivativ Security (Instr.5) 4. Transaction Code and Voluntary (V) Code (Month/ Day/ Year) (Instr. 3,4 and 5) (Instr.8) Indirect Code | V (DE) | (ED) Phantom Stock Unit \$0.0000 01/15/2003 $\mathbf{A} \mid$ (A) 10.352 (1) Common Stock - 10.352 4,996.658 D Explanation of Responses

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

By: By: Charles F. Hildebrand, Attorney-in-Fact \*\* Signature of Reporting Person Date

Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

## FOOTNOTE Descriptions for United Technologies Corporation UTX

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Ruth R. Harkin United Technologies Corporation One Financial Plaza Hartford, CT 06101

Explanation of responses:

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(1) Deferred phantom stock units acquired at the election of the executive under the United Technologies Corporation Deferred Compensation Program, in a transaction exempt under Rule 16-3

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