SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Hess David P			2. Date of Event Requiring Stater Month/Day/Yea 09/24/2004	ment	3. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> [ UTX ]						
(Last) (First) (Middle) UNITED TECHNOLOGIES CORPORATION					4. Relationship of Reporting Pers (Check all applicable) Director		10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
ONE FINANC			Х	Officer (give title below)	Other (spe below)	city		lividual or Joint cable Line)	/Group Filing (Check		
(Street) HARTFORD CT 06101						Pres., Aerospace Pow	er Systems		X		y One Reporting Person y More than One erson
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					3,063.7599		Ι		By Savings Plan Trustee		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securi		ty (Instr. 4) Conv or Ex		ersion ercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)		
Non-Qualified	Qualified Stock Option (right to buy)		04/26/2004	04/25/2011	Common Stock 50,		50,000	77		D	
Non-Qualified Stock Option (right to buy)		01/02/2005	01/01/2012	2 Common Stock		17,900	64.34		D		
Non-Qualified Stock Option (right to buy)		01/02/2006	01/01/2013	13 Common Stock		18,300	63.41		D		
Non-Qualified Stock Option (right to buy)		01/09/2007	01/08/2014		Common Stock	20,000	93.5	52	D		

Explanation of Responses:

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

10/04/2004

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date