SEC Form 4 OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 4 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 urs per response. . . . 0.5 . Issuer Name and Ticke or Trading Symbol 1. Name and Address of Reporting Person Weill, Sanford I. nip of Report (Check all applicable) (Month/Year) (Last) United Technologies Corporation One Financial Plaza United Technologies Corporation UTX January 2, 2003 (Middle) 10% Owner Other (First) х Director Officer I.R.S. Identification Number of Reporting Person, if an entity (voluntary) Officer/Other 5. If Amendment, Date of Original (Month/Year) Description (Street) Hartford, CT 06101 . Individual or Joint/Group Filing (Check Applicable Line) (City) (Zip) (State) X Individual Filing Joint/Group Filing Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Amount of Securities Beneficially Owned at End ofMonth Owner-ship Form: Direct(D) Nature of Indirect Beneficial Ownership Title of Security (Instr. 3) Transactio Code and Voluntary Code Securities Acquired (A) or Disposed (D) Of Transaction Date (Month/Day/Year) (Instr. 3, 4, and 5) or Indirect (I) (Instr. 4) (Instr. 8) (Instr. 3 and 4) (Instr. 4) Amount | A/D Price Code | V

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

> (over) SEC 1474 (3-99)

### Weill, Sanford I. - January 2003

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) . Title of Derivative Security (Instr. 3) . Conver-sion or Exercise Price of Deri-vative Security Number of Derivative Securities Acquired (A) or Disposed (D) Of . Title and Amount of Underlying Securiti (Instr. 3 and 4) 11. Nature of Indirect Beneficial Ownership (Instr.4) . Date Exercisable(DE Expiration Date(ED) (Month/Day/Year) 9. Number of Derivative Securities Beneficially Owned at End of Month . Tran Date 4. Transaction Code and Voluntary (V) Code Price of Derivative Security (Instr.5) 10. Owner-ship Form of Deriv-ative Security: Direct (D) or (Month/ Day/ Year) (Instr. 3,4 and 5) (Instr.8) (Instr.4) Indirec Code | V (DE) | (ED) Phantom Stock Unit \$0.0000 01/02/2003 $A \mid$ (A) 1,577.040 (1) Common Stock - 1,577.040 4,425.590 D Explanation of Responses :

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

# \*\* Signature of Reporting Person Power of Attorney

By: By: Charles F. Hildebrand, Attorney-in-Fact

Date

ower of Attorney

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### Form 4 (continued)

Form 4 (continued)

## FOOTNOTE Descriptions for United Technologies Corporation UTX

Sanford I. Weill United Technologies Corporation One Financial Plaza Hartford, CT 06101

Explanation of responses:

(1) Consists of Phantom Stock Units acquired at the election of the reporting person in lieu of his/her annual retainer for services as a non-employee director under a company program that permits him/her to defer receipt of the retainer. Upon termination of services on the Board of Directors, all such Phantom Stock Units are payable in cash or in stock.

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