FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|     | OMB APPI                 | ROVAL     |  |  |  |  |  |  |  |
|-----|--------------------------|-----------|--|--|--|--|--|--|--|
|     | OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|     | Estimated average burden |           |  |  |  |  |  |  |  |
| - 1 | hours ner resnonse.      | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  DaSilva Kevin G |   |  |  |                    |   | 2. Issuer Name and Ticker or Trading Symbol RAYTHEON TECHNOLOGIES CORP [ RTX ]  |   |                                   |                              |       |   |                                 |   |                                    |                       | ck all applic                                      | cable)  | g Pers | son(s) to Iss<br>10% Ov<br>Other (s                                      | vner   |  |  |  |
|---|---|--|--|--------------------|---|---|---|-----------------------------------|------------------------------|-------|---|---------------------------------|---|------------------------------------|-----------------------|--|---|--------|--|--|--|--|--|
| (Last)<br>870 WIN   | ast) (First) (Middle) 70 WINTER STREET                                |  |  |                    |   |   | 3. Date of Earliest Transaction (Month/Day/Year) 01/24/2021 |                                   |                              |       |   |                                 |   |                                    |                       |  | X Officer (give title Other (special below) below)  Corporate VP and Treasurer                                    |        |  |  |  |  |  |
| (Street) WALTH  |   |  | 02451<br>(Zip)                               |                    | 4. If                                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)      5. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |   |                                   |                              |       |   |                                 |   |                                    |                       |  |   | n      |  |  |  |  |  |
|   |   | Tab  | le I - Noi                                   | n-Deriv            | ative                                   | Sec   | curiti  | ies Ac                            | quire                        | d, Di | sp  | osed c                          | of, or B  | ene                                | ficiall               | y Owned  | t   |        |  |  |  |  |  |
| 1. Title of Security (Instr. 3)                           |   |  | 2. Transaction<br>Date<br>(Month/Day/Year)   |                    | ar) E                                   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)   |   | 3.<br>Transaction<br>Code (Instr. |                              |       | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3,<br>5) |                                 |   | 4 and Securiti<br>Benefic<br>Owned |                       | es<br>ally<br>Following                            | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   |        | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                      |  |  |  |  |
|   |   |  |  | Cod                | ode V                                   |   |   | Amount                            | (A)<br>(D)                   | or F  | Price   | Reporte<br>Transac<br>(Instr. 3 | tion(s)   |                                    |                       | (Instr. 4)   |   |        |  |  |  |  |  |
| Common  | Stock   |  |  | 01/24              | 1/2021                                  |   |   |                                   | M                            |       |   | 6,673                           | 3 A   |                                    | <b>\$0</b> (1)        | 44   | ,258  |        | D  |  |  |  |  |
| Common  | Stock   |  |  | 01/25              | 5/2021                                  |   |   |                                   | F                            |       |   | 2,050                           | ) [   | ,                                  | \$66.28               | 3 42   | ,208  |        | D  |  |  |  |  |
|   |   | Т  | able II -                                    | Deriva<br>(e.g., p |   |   |   |                                   |                              |       |   |                                 |   |                                    |                       | Owned  |   | ,      |  | · · · · · · · · · · · · · · · · · · ·                              |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deem<br>Execution<br>if any<br>(Month/Da | Date,              | 4.<br>Transactior<br>Code (Instr.<br>8) |   | n of I  |                                   | 6. Date<br>Expirat<br>(Month | on Da | ite   |                                 | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Sec<br>(Instr. 3 and 4) |                                    | urity                 | 3. Price of<br>Derivative<br>Security<br>Instr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactic<br>(Instr. 4) | lly    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |
|   |   |  |  | c                  | Code                                    | v   | (A)   | (D)                               | Date<br>Exercis              | able  | Ex<br>Da  | piration<br>ite                 | Title   | or<br>Nu<br>of                     | nount<br>mber<br>ares |  |   |        |  |  |  |  |  |
| Restricted<br>Stock                                       | (1)   | 01/24/2021                                 |  |                    | M                                       |   |   | 6,673                             | (2)                          |       |   | (2)                             | Common  | 6,                                 | 673                   | \$0.0000   | 11,256  | 5      | D  |  |  |  |  |

## **Explanation of Responses:**

- 1. Time-based restricted stock units (RSUs) that represent the right to receive one share of the Issuer's Common Stock per unit.
- 2. Vesting of RSUs and delivery of shares with respect to the RSUs that were converted from Raytheon Company performance-based vesting RSUs originally granted on January 24, 2018 under the 2018-2020

/s/ Dana Ng as Attorney-In-

**Fact** 

01/26/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.