SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Baranda Riva, Pedro Sainz De	2. Date of Even Requiring State (Month/Day/Yea 02/14/2012	ment	3. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> [UTX]					
(Last) (First) (Middle) ONE FINANCIAL PLAZA	-		4. Relationship of Reporting Perso (Check all applicable) Director	on(s) to Issuer 10% Owne	(Mon	 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 		
			X Officer (give title below)	Other (spe below)				
(Street) HARTFORD CT 06101			President, Otis El	levator	X		One Reporting Person	
	_					Form filed by Reporting Pe	y More than One erson	
(City) (State) (Zip)								
	Table I - No	n-Deriva	tive Securities Beneficiall	ly Owned				
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	Form: Direc	Form: Direct (D) (Instr. 5) or Indirect (I)		Beneficial Ownership	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Da (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiratior Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Non-Qualified Stock Option (right to buy)	01/02/2006	01/01/2013	3 Common Stock	3,500	31.705	D		
Non-Qualified Stock Option (right to buy)	01/09/2007	01/08/2014	4 Common Stock	5,400	46.76	D		
Non-Qualified Stock Option (right to buy)	01/03/2008	01/02/2015	5 Common Stock	9,400	51.5	D		
Stock Appreciation Right	01/02/2012	01/01/2019	Common Stock	15,800	54.95	D		
Stock Appreciation Right	01/03/2009	01/02/2016	6 Common Stock	8,200	56.53	D		
Stock Appreciation Right	01/03/2010	01/02/2017	7 Common Stock	8,800	62.81	D		
Stock Appreciation Right	01/04/2013	01/03/2020	Common Stock	8,800(1)	71.63	D		
Stock Appreciation Right	01/03/2015	01/02/2022	2 Common Stock	12,700(2)	74.66	D		
Stock Appreciation Right	01/02/2011	01/01/2018	3 Common Stock	9,100	75.21	D		
Stock Appreciation Right	01/03/2014	01/02/2021	1 Common Stock	12,300 ⁽³⁾	78.99	D		

Explanation of Responses:

1. The reporting person was also awarded 2,530 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

2. The reporting person was also awarded 3,370 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

3. The reporting person was also awarded 3,180 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

<u>/s</u>	Charles F. Hildebrand as
A	torney-in-Fact

02/22/2012

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.