SEC Form 4 OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 4 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 urs per response. . . . 0.5 Issuer Name and Ticke or Trading Symbol 1. Name and Address of Reporting Person Bousbib, Ari hip of Reporti n(s) to Issuer (Check all applicable) (Month/Year) (Last) United Technologies Corporation One Financial Plaza United Technologies Corporation UTX January 2, 2003 (Middle) 10% Owner Other (First) Director Officer I.R.S. Identification Number of Reporting Person, if an entity (voluntary) Officer/Other 5. If Amendment, Date of Original (Month/Year) President, Otis Elevator Description (Street) Hartford, CT 06101 Individual or Joint/Group Filing (Check Applicable Line) (City) (State) (Zip) X Individual Filing Joint/Group Filing Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities Acquired (A) or Disposed (D) O Title of Security (Instr. 3) Transaction Code and Voluntary Code . Owner-ship Form: Direct(D) Nature of Indirect Beneficial Ownership Transaction Date (Month/Day/Year) Amount of Securities Beneficially (Instr. 3, 4, and 5) Owned at End of Month or Indirect (I) (Instr. 4) (Instr. 8) (Instr. 3 and 4) (Instr. 4) Amount | A/D Price Code | V Common Stock 01/02/2003 10,000.000(1) А D  $\mathbf{J}\mid$ Common Stock 01/02/2003  $\mathbf{F}\mid$ 3,381.000 | D 9,945.00 D Common Stock (Restricted) 01/02/2003  $\mathbf{J} \mid$ 10,000.000(1) | D 20.000.00 D Common Stock 601.780 т By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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## Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deri- vative Security	3. Transaction Date (Month/ Day/ Year)	4. Transaction Code and Voluntary (V) Code (Instr.8) Code   V	5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	6. Date Exerciseble((DE) and Expiration Date(ED) (Month/Day/Year) (DE) (ED)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned at End of Month (Instr.4)	10. Owner- ship Form of Deriv- ative Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr.4)
Non-Qualified Stock Option (right to buy)	\$63.4100	01/02/2003	A	(A) 80,000.000	01/02/2006   01/01/2013	Common Stock - 80,000.000		80,000.000	D	

Explanation of Responses :

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

FOOTNOTE Descriptions for United Technologies Corporation UTX

Ari Bousbib United Technologies Corporation One Financial Plaza Hartford, CT 06101

Explanation of responses:

Form 4 (continued)

(1) Acquisition and disposition of shares reflects the vesting of restricted stock to unrestricted common stock.

## By: By: Charles F. Hildebrand, Attorney-in-Fact

\*\* Signature of Reporting Person Date

Power of Attorney

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