FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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OMB APPROVAL

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0.5

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Austin Lloyd J. III</u>					2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ UTX ]									tionship of F all applicab Director	le)	Person	10% Ow	ner	
(Last) (First) (Middle) 10 FARM SPRINGS ROAD					3. Date of Earliest Transaction (Month/Day/Year) 04/29/2019									Officer (gi below)	ive title		Other (s <sub>l</sub> below)	pecify	
(Street) FARMINGTON CT 06032				_	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person					
(City)	City) (State) (Zip)													·					
			Table I - Non-	Deriva	ative S	Securitie	s Ac	quired	, Dis	posed	of, or B	ene	ficially C	wned					
1. Title of Security (Instr. 3)  2. Trans Date (Month/I				ate		2A. Deemed Execution Date, if any (Month/Day/Yea		Code	Transaction Disposi			rities Acquired (A) o ed Of (D) (Instr. 3, 4 a		and 5) Securities Beneficially Following		Form:	Direct I Indirect E tr. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	unt (A) or (D)		Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
			Table II - D			ecurities alls, warr		. ,	•		,		•	ned		,			
Security or Exe (Instr. 3) Price of Deriva	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. ) 8)		Derivative		Expiration	6. Date Exercisable and Expiration Date (Month/Day/Year)					8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followin Reported	ve es ally ig d	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Nu	ount or mber of ares		Transaction(s)				
Phantom Stock Unit	(1)	04/29/2019		A		1,435.4067		(1)		(1)	Common Stock	1,4	435.4067	\$142.12	6,569.4	4108	D		

## Explanation of Responses:

1. The reporting person acquired these stock units under the United Technologies Corporation Board of Directors Deferred Stock Unit Plan (the Plan) in connection with the reporting person's annual compensation for service as a non-employee director. The Plan provides for payment of a portion or all of the annual compensation in deferred stock units. Upon retirement or termination, the deferred stock units in the director's account under the Plan are converted into an equal number of shares of common stock that, at the director's previous election, are distributed either in a lump-sum or in installments.

/s/ Ariel R. David as Attorney-

in-Fact

\*\* Signature of Reporting Person

05/01/2019 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.