| SEC Form 4 | | | | | | | | | | | |
|--|---|---------|---|--|--|--|---|---|---|--|--|
| FORM 4 [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | τ | UNITED STAT | TES SECURITIES AND EXC Washington, D.C. 20549 | SION | OMB APPROVAL | | | | |
| | | | | Filed pursuant to S | MENT OF CHANGES IN BENEF Section 16(a) of the Securities Exchange Act of 19 Company Act of 1935 or Section 30(f) of the Inve | ity | OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | |
| Name and Address of Reporting Person* Rogan, Thomas I. | | | | 2. Issuer Nam or Trading | ne and Ticker (Symbol 4. Statement for (Month/Year) | | 6. Relationship of | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| United Technologies Corp One Financial Plaza | (Last) (First) (Middle) d Technologies Corporation inancial Plaza | | | | nologies Corporation UTX utification Reporting | January 2, 2003 | | | | | |
| (Stre | | | | Person, if a (voluntary) | an entity | 5. If Amendment, Date of Original (Month/Year) | Description | Description Vice President, Treasurer | | | |
| | (City) | (State) | e) (Zip) | | | | Filing (Check . | 7. Individual or Joint/Group Filing (Check Applicable Line) X. Individual Filing | | | |
| | | | | | | | | Joint/Group Filing | | | |
| | | | | Table I - | Non-Derivative Securities Acquired, Dispose | d of, or Beneficially Owned | | | | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code and Voluntary Code (Instr. 8) | 4. Securities Acquired (A) or Disposed (D) O (Instr. 3, 4, and 5) | | Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4) | 6. Owner- ship Form: Direct(D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |

Amount | A/D | Price

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Form 4 (continued)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | |
|--|--|--|--|--|---|---|---|--|---|---|--|--|
| I. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/ Day/ Year) | 4. Transaction Code and Voluntary (V) Code (Instr.8) | 5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5) | 6. Date Exercisable(DE) and Expiration Date(ED) (Month Day/Year) (DE) (ED) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr.5) | Derivative Securities Beneficially Owned at End of Month | 10. Owner- ship Form of Deriv- ative Security: Direct (D) or Indirect (I) | 11. Nature of Indirect Beneficial Ownership (Instr.4) | | |
| Non-Qualified Stock Option (right to buy) | \$63.4100 | 01/02/2003 | A | (A) 16,700.000 | 01/02/2006 01/01/2013 | Common Stock - 16,700.000 | | 16,700.000 | D | | | |
| | | | | | | | | | | | | |

Explanation of Responses :

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

By: By: Charles F. Hildebrand, Attorney-in-Fact

** Signature of Reporting Person Date

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly * If the form is filed by more than one reporting person, see Instruction 4(b)(v).