| SEC Form 4 | |
|------------|--|
|------------|--|

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number: 3235-0287 | | | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | | | | 2. Issuer Name and Ticker or Trading Symbol RAYTHEON TECHNOLOGIES CORP [| | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|--|---|--|--|---------------------|---|---|--|-------|-----------------|---|-------------------------|---|--|--------------------|---|--|-------|--|--|
| <u>O'Brien Anthony F</u> | | | | | | RTX] | | | | | | | | r | | 10% Ov | wner | | |
| | | | | | | | | | | X | Officer below) | (give title | | Other (s below) | specify | | | | |
| (Last) | | , | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | EVP | , Chief F | inancia | al Officer | r 🛛 | | |
| 870 WINTER STREET | | | | | 12/10/2 | 12/16/2020 | | | | | | | | | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. Inc Line) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | | | |
| WALTH | AM M | A | 02451 | | 1 | | | | | | | X | Form fi | led by One | e Repor | ting Perso | n | | |
| | | | | | | | | | | | | | Form fi Person | | e than | One Repo | rting | | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | | | | | | | |
| | | Tab | le I - Nor | n-Deriv | ative Se | curities Ac | quired, | Dis | oosed o | of, or | Bene | eficially | y Owned | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/E | | | | action Day/Year) | 3. Transaction Code (Instr. 8) 4. Securitie Disposed (5) | | | | | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | |
| | | | | | Code | v | Amount | (| (A) or (D) | Price | Transact (Instr. 3 a | ion(s) | | | (1130.4) | | | | |
| | | | | | | urities Acq | | | | | | | Owned | | | | | | |
| | | | | (e.g., p | uts, call | ls, warrants | , optio | ns, c | onvertil | ble s | securi | ties) | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution I if any (Month/Day | Date, 1 | 4. Fransaction Code (Instr. 3) | 5. Number of Derivative Securities Acquired | 6. Date Exercisable and Expiration Date (Month/Day/Year) Derivative Securities Underlying Derivative Securities (Instr. 3 and 4) | | | | ecurity | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned | s Ily | 10. Ownership Form: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |

| | Security | | | Disposed of (D) (Instr. 3, 4 and 5) | | | | | | | | Reported Transaction(s) (Instr. 4) | (i) (instr. 4) | |
|------------------------------|----------|------------|------|---|--------|-----|---------------------|--------------------|-----------------|--|-----|--|----------------|--|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Stock Units | (1) | 12/16/2020 | Α | | 27,390 | | (1) | (1) | Common Stock | 27,390 | (1) | 103,600 | D | |

Explanation of Responses:

1. These Restricted Stock Units ("RSUs") are awarded in connection with the reporting person's membership in the Raytheon Technologies Corporation ("RTX") Executive Leadership Group ("ELG"). Following three years of ELG service, each RSU, including accumulated dividend equivalents, represents a right to receive one share of RTX Common Stock upon a Qualifying Separation from RTX (as defined in Exhibit 10.6 of legacy United Technologies Corporation's 2019 Form 10-K).

/s/ Dana Ng as Attorney-In-Fact

12/18/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.