SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if Section 16. Form obligations may of Instruction 1(b).			ENT OF CHANGES IN BENEFICIAL OWNE lied pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	ERSHIP	OMB Number: Estimated average b hours per response:						
1. Name and Address HAYES GRE (Last)	(First)	(Middle)	2. Issuer Name and Ticker or Trading Symbol RTX Corp [RTX] 3. Date of Earliest Transaction (Month/Day/Year) 07/31/2024	(Check all applicable) Director Officer (gibelow)	Estimated average burden hours per response: elationship of Reporting Person(s) to Issuer ack all applicable) Intector Officer (give title Other (spective) Executive Chairman Executive Chairman dividual or Joint/Group Filing (Check Applicate) Form filed by One Reporting Person Form filed by More than One Reporting Person et, instruction or written plan that is intended to satisfy	% Owner her (specify low)					
(Street) ARLINGTON	VA	22209	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	Line) Form filed Form filed	by One Reporting Pe	erson					
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a the affirmative defense conditions of Rule 10b5-1(c). See Instruction	tion was made pursuant to a contract, instruction or written plan that is intended to satisfy							
		Table I - Non-Deri	ivative Securities Acquired, Disposed of, or Benefic	cially Owned							

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	ction Instr.	4. Securities A Of (D) (Instr. 3	(cquired (, 4 and 5)	A) or Disposed	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	07/31/2024		М		271,521 ⁽¹⁾	Α	\$76	838,244	D		
Common Stock	07/31/2024		М		128,541(1)	Α	\$82.35	966,785	D		
Common Stock	07/31/2024		D		13,891	D	\$117.74	952,894	D		
Common Stock	07/31/2024		D		16,123	D	\$117.84	936,771	D		
Common Stock	07/31/2024		D		16,135	D	\$117.75	920,636	D		
Common Stock	07/31/2024		D		16,139	D	\$117.72	904,497	D		
Common Stock	07/31/2024		D		16,146	D	\$117.67	888,351	D		
Common Stock	07/31/2024		D		17,490	D	\$117.71	870,861	D		
Common Stock	07/31/2024		D		17,492	D	\$117.69	853,369	D		
Common Stock	07/31/2024		D		17,506	D	\$117.6	835,863	D		
Common Stock	07/31/2024		D		32,258	D	\$117.8	803,605	D		
Common Stock	07/31/2024		D		32,260	D	\$117.79	771,345	D		
Common Stock	07/31/2024		D		32,282	D	\$117.71	739,063	D		
Common Stock	07/31/2024		D		37,453	D	\$117.72	701,610	D		
Common Stock	07/31/2024		S		38,600	D	\$117.699 ⁽²⁾	663,010	D		
Common Stock	07/31/2024		S		96,287	D	\$ 117.7496 ⁽³⁾	566,723	D		
Common Stock								1,096	I	By Children' Trust Accounts	
Common Stock								12,795	I	By Savings Plan Trustee	
Common Stock								874	I	By Spouse	
Common Stock								2,868	I	By Spouse's Savings Plan Account	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)2.3. Transaction Date (Month/Day/Year)3A. Deemed Execution Date, if any (Month/Day/Year)4.5. Number of Derivative Security (Month/Day/Year)7. Title and Amount of Securities (Month/Day/Year)8. Price of Derivative Security (Month/Day/Year)9. Number of of Indirect Beneficial (Month/Day/Year)10.11. Nature of Indirect Beneficial (Instr. 4)1. Title and Amount Derivative Security3. Transaction Date (Month/Day/Year)4.5. Number of Derivative Securities of Disting or Disposed of (D) (Instr. 4. and b)7. Title and Amount of Securities Underlying Derivative Security (Instr. 3) and 4)8. Price of Derivative Security9. Number of derivative Security Ownership Ownership Ownership (Instr. 4)10.11. Nature Of Indirect Beneficial (Instr. 4)													4
	Derivative Security	or Exercise Price of Derivative	Date	Execution Date, if any	Code (Instr.	Derivative Securities Acquired (A) or Disposed	Expiration Date (Month/Day/Year)	of Securities Underlying Derivative Security	Derivative Security	derivative Securities Beneficially Owned	Ownership Form: Direct (D) or Indirect	of Indirect Beneficial Ownership	

			Table II - Deriv (e.g.,		, calls	, w	arrants	uired, Disp opptions,	CORPARATIO		lor 🦻	wned	Transaction(s) (Instr. 4)		
1. Title of Stockative Appreciation Right: 3)	Conversion	3. Transaction Date (Mc _{07/31/2024} , ar)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa C _M le (8)	action (Instr.	Deri Seci Acq	umber of vative ¹ 271,521 uired (A) isposed	6. Date Exercite Expiration Date	isable and ate	7. Title an Common DStockive (Instr. 3 ar	Amount es 271,521 Security	8. Price of Derivative S§0.0000 (Instr. 5)	9. Number of derivative Secio.0000 Beneficially Owned	10. Ownership Form Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock Appreciation Right	Security \$82.35	07/31/2024		M) (Instr.	_01/03/2020_	_01/02/2027_	Common Stock	_128,541_	\$0.0000	Following Rep <u>0.0000</u> Transaction(s)	(I) (Instr. 4) D	
Explanation	of Response	5:									Amount		(Instr. 4)		

2. The reported price is based on a weighted average of multiple same-day transactions with prices ranging from \$117.6603 to \$117.7350 per share. Full information regarding the number of shares sold at each separate price is available to the Securities and Exchange Commission, the Issuer or any security holder of the Issuer upon request.

3. The reported price is based on a weighted average of multiple same-day transactions with prices ranging from \$117.6706 to \$117.8900 per share. Full information regarding the number of shares sold at each separate price is available to the Securities and Exchange Commission, the Issuer or any security holder of the Issuer upon request.

/s/ Edward G. Perrault as 08/01/2024 Attorney-in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.