SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028										
Estimated average burden										
hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Azevedo Roy</u>					2. Issuer Name and Ticker or Trading Symbol <u>RAYTHEON TECHNOLOGIES CORP</u>								elationship o eck all applic Directo	()	Issuer Owner			
(L act)	(E)	ret)	·	RTX]								(give title		Other (: below)	specify			
(Last) (First) (Middle) 870 WINTER STREET						3. Date of Earliest Transaction (Month/Day/Year) 12/16/2020							President, RIS					
(Street)			4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)							
WALTH	AM M	A	02451									2	-	,	•	rting Perso One Repo		
(City)	(S	tate)	(Zip)						Person									
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) Date (Month/D					Day/Year)	Execution Date,			3. 4. Securities Acquire Transaction Disposed Of (D) (Instr. 0 8)								7. Nature of Indirect Beneficial Ownership	
							Cod	e V	Amount		(A) or (D)	Price	Transact (Instr. 3 a	ion(s)			(Instr. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution I if any (Month/Day	Date,	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	Expira	Exercis tion Date /Day/Yea		of Securities		ecurity	Derivative derivative Security Securities		5	10. Ownership Form: Direct (D) or Indirect	Beneficial Ownership	

	Derivative Security		Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				(Instr. 3 ar	nd 4)		Owned Following Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)			
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	12/16/2020	Α		27,390		(1)	(1)	Common Stock	27,390	(1)	78,135	D	

Explanation of Responses:

1. These Restricted Stock Units ("RSUs") are awarded in connection with the reporting person's membership in the Raytheon Technologies Corporation ("RTX") Executive Leadership Group ("ELG"). Following three years of ELG service, each RSU, including accumulated dividend equivalents, represents a right to receive one share of RTX Common Stock upon a Qualifying Separation from RTX (as defined in Exhibit 10.6 of legacy United Technologies Corporation's 2019 Form 10-K).

/s/ Dana Ng as Attorney-In-Fact

12/18/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.