FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to | |
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| Section 16. Form 4 or Form 5 | |
| obligations may continue. See | |
| Instruction 1(b) | |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Whitehouse David R | | | | | | 2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX] | | | | | | | | eck all applic Directo | • | | on(s) to Isso 10% Ov Other (s | vner . | |
|--|---|----------------------|---|-----------------------------|--|---|---|------------------------------------|-----------------------------------|---------------|----------------------|-----------------------------|---|--|-----------------------|--|---------------------------------------|---|--|
| (Last) (First) (Middle) 10 FARM SPRINGS ROAD | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 01/04/2016 | | | | | | | | X Officer (give title Offier (specify below) Vice President, Treasurer | | | | | |
| (Street) FARMINGTON CT 06032 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | Line | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (Sta | | Zip) | D | | | | • | | D: | | | - 6 - : - 11 | | | | | | |
| Date | | | | 2. Trans Date (Month/ | action | ar) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. | | 4. Securiti | ies Acquire Of (D) (Inst | d (A) or | 5. Amour Securitie Beneficia Owned F | s Illy ollowing | Form: | Direct Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | Reported Transacti (Instr. 3 a | ion(s) | | | (Instr. 4) | |
| Common Stock | | | | | | | | | | | | | 1,0 | 1,047 | | D | | | |
| Common Stock | | | | | | | | | | | | | | 19 | 197 | | I | By Savings Plan Trustee | |
| | | Т | | | | | | | | | osed of, onvertib | | | Owned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | ise (Month/Day/Year) | 3A. Deeme Execution if any (Month/Da | Date, | 4. Transactio Code (Inst 8) | | | 6. Date E Expiratio (Month/D | n Dat | of Securities | | ies g Security | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4) | e s Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | Amount or Number of Shares | | | | | | |
| Restricted Stock Units 1/4/16 | (1) | 01/04/2016 | | | A | | 1,055 | | (2) | | (2) | Common Stock | 1,055 | \$95.57 | 1,055 | 5 | D | | |
| Stock Appreciation | \$95.57 | 01/04/2016 | | | A | | 13,200 | | 01/04/20 | 19 | 01/03/2026 | Common Stock | 13,200 | \$95.57 | 13,200 | (3) | D | | |

Explanation of Responses:

- 1. Each restricted stock unit (RSU) is equal in value to one share of UTC common stock.
- 2. The restricted stock units (RSUs) vest after three years.
- 3. The reporting person was also awarded 1,580 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC Common Stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's return on invested capital, earnings per share growth and total shareholder return relative to the S&P 500 index over a three-year time period.

/s/ Charles F. Hildebrand as 01/06/2016 Attorney-in-Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.