FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

l	OMB APPRO	VAL				
l	OMB Number:	3235-0287				
l	Estimated average burde	en				
l	hours per response:	0.5				

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	od Address ( CCH PH)	of Reporting Person <sup>*</sup>	<u>UN</u>	2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ UTX ]									neck all appl Direc	icable)	ig Pers	son(s) to Issuer  10% Owner  Other (specify				
(Last) 10 FARN	( 1 SPRING		3. Date of Earliest Transaction (Month/Day/Year) 01/03/2017										President, Otis Elevator							
(Street) FARMINGTON CT 06032					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(	State)	(Zip)	-Deriv	ative		curities	. Ac	quired	Dier	nosed o	of or	Bon	oficial	ly Owne	٠				
Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transa Date (Month/D						ar)	2A. Deemed Execution Date, if any (Month/Day/Year		3. Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4)			d (A) or	5. Amo Securit Benefic Owned	unt of es ially Following	Form (D) o	n: Direct or Indirect Enstr. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount		(A) or (D)	Price	Reporte Transac (Instr. 3	tion(s)		(	(Instr. 4)		
Common	Stock											38	3,121		D					
		-	Table II - I						uired, D , option						Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date, T	ransaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisa Expiration Date (Month/Day/Year			7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)		s Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)	
				c	Code	v	(A)	(D)	Date Exercisable		xpiration ate	or Nu of		Number						
Non- Qualified Stock Option (right to buy)	\$110.83	01/03/2017			A		55,000		01/03/202	0 0:	1/02/2027	Com		55,000	\$110.83	55,000	(1)	D		
Restricted Stock	(2)	01/03/2017			A		7,400		01/03/202	0	(2)	Com		7,400	\$110.83	7,400	)	D		

## **Explanation of Responses:**

- 1. The reporting person was also awarded 18,300 performance share units (PSUs) under the UTC Long-Term Incentive Plan. Each PSU has a value equal to one share of UTC Common Stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's return on invested capital, earnings per share growth and total shareholder return relative to the S&P 500 index over a three-year time period.
- 2. Each restricted stock unit (RSU), including dividend equivalents, represents a contingent right to receive one share of UTC Common Stock.

/s/ Ariel R. David as Attorneyin-Fact

\*\* Signature of Reporting Person

Date

01/05/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.