SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	4.			
3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		version O vercise F	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	unt or Deriv ber of Secu	erivative c	Direct (D) or Indirect (I) (Instr. 5)	
n Stock 20,0),000 31	.705	D	
1 Stock 16,8	6,800 40	6.76	D	
n Stock 14,3	,300 5	1.5	D	
n Stock 5,930.	0.0601	(1)	D	
1 Stock 422.8	2.8955	(2)	D	
n Stock 15,4	5,400 54	4.95	D	
ı Stock 9,3	,300 56	6.53	D	
ı Stock 9,6	,600 62	2.81	D	
1 Stock 9,10	L00 ⁽³⁾ 71	1.63	D	
1 Stock 12,70	700 ⁽⁴⁾ 74	4.66	D	
n Stock 9,1	,100 75	5.21	D	
		i	D	1
	Sharn Stock20n Stock16n Stock14n Stock5,93n Stock422n Stock422n Stock9,9n Stock9,9n Stock9,1n Stock12,n Stock12,n Stock9,1n Stock9,2	Shares Shares n Stock 20,000 31 n Stock 16,800 44 n Stock 14,300 5 n Stock 5,930.0601 5 n Stock 422.8955 5 n Stock 15,400 55 n Stock 9,300 56 n Stock 9,600 66 n Stock 9,100 ⁽³⁾ 7 n Stock 12,700 ⁽⁴⁾ 7 n Stock 9,100 7	Shares Starts Starts<	Shares Stock (4,000,0) n Stock 20,000 31.705 D n Stock 16,800 46.76 D n Stock 14,300 51.5 D n Stock 5,930.0601 (1) D n Stock 422.8955 (2) D n Stock 15,400 54.95 D n Stock 9,300 56.53 D n Stock 9,600 62.81 D n Stock 9,100 ⁽³⁾ 71.63 D n Stock 12,700 ⁽⁴⁾ 74.66 D

tion of Responses

1. Deferred phantom stock units acquired at the election of the executive under the United Technoogies Corporation Deferred Compension Program, in a transaction exempt under Rule 16b-3.

2. Each Savings Restoration Plan ("SRP") stock unit is the economic equivalent of one share of UTC common stock. The reported SRP stock units were acquired under UTC's Savings Restoration Plan, an excess benefit plan, and are to be settled upon the reporting person's retirement or other termination of service.

3. The reporting person was also awarded 2,610 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year time period.

4. The reporting person was also awarded 3,370 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year time period.

5. The reporting person was also awarded 2,510 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year time period.

/s/ Charles F. Hildebrand as Attorney-in-Fact

** Signature of Reporting Person

08/09/2012

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.