SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Section 1	is box if no long L6. Form 4 or F ns may continu on 1(b).	orm 5	STAT		ed purs	suant to	o Section	16(a)	of the Se	ecuriti	NEFICI/ ies Exchang mpany Act c	ge Act of 19	_	HIP	Estim	Numbe nated av s per res	verage burder	3235-0287 1 0.5
1. Name and Address of Reporting Person [*] Maharajh Ramsaran					2. Issuer Name and Ticker or Trading Symbol <u>RAYTHEON TECHNOLOGIES CORP</u> [RTX]							(Che		able)	ıg Pers	uer vner specify		
(Last) 1000 WIL	(Firs SON BLVE	(First) (Middle) BLVD.				3. Date of Earliest Transaction (Month/Day/Year) 02/08/2023								below) below) EVP and General Counsel				
(Street) ARLINGT (City)	CON VA		2209 ^{Zip)}		4. If	Ameno	dment, D	ate of	Original I	Filed	(Month/Day	//Year)	Line	X Form fi	led by One led by Mo	e Repo	(Check App orting Persor One Repor	ו ו
		Tabl	e I - Noi	n-Deriv	/ative	e Sec	urities	Acc	quired,	Dis	posed of	f, or Ben	eficially	y Owned				
1. Title of Security (Instr. 3) Date (Month/E					Day/Year) if a		2A. Deemed Execution Date, if any (Month/Day/Year		Transaction Disposed Code (Instr. 5)			ties Acquired (A) or I Of (D) (Instr. 3, 4 and		5. Amoun Securities Beneficia Owned Fo Reported	s Ily ollowing	Form (D) or	: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Code V		Amount	(A) or (D)	Price	Transacti	Transaction(s) Instr. 3 and 4)			(1150.4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa	ble	Expiration Date	Title	Amount or Number of Shares					
Stock Appreciation Right	\$97.65	02/08/2023			A		48,600		02/08/20	26	02/07/2033	Common Stock	48,600	\$97.65	48,600) ⁽¹⁾	D	

Explanation of Responses:

1. This number reflects only stock appreciation rights (SARs) with these specific grant details and does not include other SARs. In addition to the SARs and not included in this number, the reporting person was also awarded 18,435 performance share units (PSUs) under the RTX Long-Term Incentive Plan. Each PSU has a value equal to one share of RTX Common Stock. These PSUs vest solely upon achievement of pre-established performance goals for RTX's return on invested capital, earnings per share growth and total shareholder return relative to the S&P 500 and aerospace & defense peer companies over a three year performance period.

/s/ Michelle G. Gewandter, as Attorney-In-Fact

02/10/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.