FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| 0145 45550141 |
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| OMB APPROVAL |
| |

OMB Number: 3235-0287

0.5

Estimated average burden hours per response:

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* | | | | | 2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [| | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|---|---|--|---|-------------------------------------|--|--|----------------------------------|---|---|--|-----------|--|---|--|-------------------------------------|--|---------------------------------------|-------|--|
| GARNIER JEAN PIERRE | | | | | UTX] | | | | | | | X | Director | | | 10% Owi | ner | | |
| (Last) | (F | First) | (Middle) | | 0171 | J | | | | | | | | Officer (gi | ive title | | Other (sp below) | ecify | |
| UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 01/02/2004 | | | | | | | | | | | | | | |
| (Street) HARTFORD CT 06101 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| (City) | (5 | State) | (Zip) | | Form filed by | | | | | | | | т ву моге | man O | пе керопп | y Person | | | |
| | | | Table I - Non- | Deriva | ative | Securitie | s A | cquired, D | ispos | sed o | of, or Be | enefic | ially O | wned | | | | | |
| Date | | | | | 2A. Deemed Execution Date if any (Month/Day/Year) | | Transaction Dispose Code (Instr. | | rities Acquired (A) o ed Of (D) (Instr. 3, 4 a | | | Securities Beneficially Following | Securities Beneficially Owned Following | | Direct II Indirect E tr. 4) C | 7. Nature of Indirect Beneficial Ownership | | | |
| | | | | Code | | | V AI | mount | nt (A) or (D) | | rice | Reported Transaction(s) (Instr. 3 and 4) | | | | nstr. 4) | | | |
| | | | Table II - De | | | | | uired, Dis s, options | | | | | | ned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr.) 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exer Expiration D (Month/Day/ | and | 7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4) | | ying | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transact | e es ally g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | | |
| | | | | Code | v | (A) | (D) | Date Exercisable | | ation | Title | Amou Numb Share | er of | | (Instr. 4) | | | | |
| Phantom Stock Unit | \$0.0000 | 01/02/2004 | | A | | 1,165.7482 | | (1) | (1 | 1) | Common | 1,16 | 5.7482 | \$94.36 | 12,071. | 5482 | D | | |

Explanation of Responses:

1. Consists of Phantom Stock Units acquired at the election of the reporting person in lieu of his/her annual retainer for services as a non-employee director under a company program that permits him/her to defer receipt of the retainer. Upon termination of services on the Board of Directors, all such Phantom Stock Units are payable in cash.

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 01/06/2004

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.