## SEC Form 4

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b)

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response 0.5

1. Name and Address of Reporting Person <sup>*</sup> Gill Charles D			2. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> UTX ]		tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner				
(Last) ONE FINANCI	(First) AL PLAZA	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/02/2013	X	Officer (give title below) Senior VP and Gene	Other (specify below) eral Counsel			
(Street) HARTFORD (City)	CT (State)	06101 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More thar Person	orting Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

#### 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 3. Transaction Code (Instr. 8) 6. Ownership Form: Direct (D) or Indirect 2. Transaction Date 2A. Deemed 5. Amount of Securities 7. Nature of Indirect Beneficial Execution Date (Month/Day/Year) if any Beneficially (Month/Day/Year) (I) (Instr. 4) **Owned Following** Ownership Reported (Instr. 4) Transaction(s) (A) or (D) Code v Price Amount (Instr. 3 and 4) Common Stock 15,132 D Bv Savings Common Stock 5,805 T Plan Trustee By Common Stock 1,546.02 T Spouse's IRA

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(0.3., parte, cante, cparte, contentate coordinate)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Appreciation Right	\$84	01/02/2013		A		73,000		01/02/2016	01/01/2023	Common Stock	73,000	\$0.0000	73,000 <sup>(1)</sup>	D	

Explanation of Responses:

1. The reporting person was also awarded 17,900 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year time period.

/s/ Charles F. Hildebrand as	
Attorney-in-Fact	

\*\* Signature of Reporting Person

01/04/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.