FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

rradimigion, Biol 200 id

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden

0.5

hours per response

Check this box if no longer subject to	0
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(h)	

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

						` '				' '									
		f Reporting Person*				er Name an					P /DE/	Г		ationship of F all applicab		Person	(s) to Issue		
<u>O'Sulli</u>	van Marg	garet L.		- 1	UTX		<u> </u>		<u> </u>	0010	<u> </u>	L	X	Director			10% Ow	ner	
(Last)	(First)	(Middle)	$-\lfloor$	OIX]									Officer (g below)	ve title Other (sp below)		pecify		
10 FARM SPRINGS ROAD					3. Date 11/01/	of Earliest ²	Transa	action (M	onth/[Day/Year)									
(Street) FARMINGTON CT 06032					4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)											Form file	а ву моге	tnan O	ne Reportir	ig Person	
			Table I - Non-l	Deriva	ative \$	Securitie	s Ac	quirec	l, Dis	sposed	of, or Bo	enefic	ially C	wned					
Date					ction ay/Year)	Execution if any	2A. Deemed Execution Date, if any (Month/Day/Yea		, Transaction Code (Instr.		Securities Acquired (A) o sposed Of (D) (Instr. 3, 4		and 5) Securities Beneficially Following		y Owned	6. Own Form: (D) or I (I) (Inst	Direct I Indirect I tr. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	t (A)	or F	rice	Reported Transaction (Instr. 3 and	ı(s) I 4)		[Instr. 4)	
			Table II - De			ecurities alls, warr		,			,		•	vned			·		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title ar Securitie: Derivativ (Instr. 3 a	s Under e Securi	lying	ng Derivative		er of	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amou Numb Share	er of		Transaction (Instr. 4)				
Phantom Stock Unit	(1)	11/01/2017		A		1,373.6264		(1)		(1)	Common Stock	1,37	3.6264	\$120.12	1,373.6	5264	D		
Restricted Stock	(2)	11/01/2017		A		832.5008		(2)		(2)	Common	832	.5008	\$120.12	832.50	008	D		

Explanation of Responses:

- 1. Phantom Stock Units acquired by the reporting person in connection with her annual retainer for a partial year of service as a non-employee director under the Board of Directors Deferred Stock Unit Plan, Exhibit 10.9 of UTC's 2016 Form 10-K. The plan provides for the payment of all or a portion of the retainer in deferred stock units. Upon retirement or termination of service on the Board, all such stock units, including accumulated dividend equivalents, are converted to UTC common stock and paid out as a lump sum or in installments, at the election of the director.
- 2. A one-time grant of Restricted Stock Units awarded on the date of election to the Board of Directors pursuant to the Board of Directors Deferred Stock Unit Plan, Exhibit 10.9 of UTC's 2016 Form 10-K. Vesting occurs over a five-year period at 20 percent per year. Upon retirement or termination of service on the Board, all such stock units, including accumulated dividend equivalents, are converted to UTC common stock.

/s/ Ariel R. David as Attorney-

in-Fact

** Signature of Reporting Person

Date

11/03/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.