SEC Form 4	
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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ľ	OMB Number:	3235-0287							
	Estimated average burden								
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr Johnson Am	1 0	Person*		er Name <b>and</b> Ticke (THEON TEC ]				tionship of Reportin ( all applicable) Director Officer (give title below)	10% 0	Owner (specify	
(Last) 870 WINTER	(First) STREET	(Middle)	3. Date 02/05	e of Earliest Transa /2022	ction (Montl	/Day/Year)		Corporate VP		<b>'</b>	
(Street)			4. If An	nendment, Date of	Original File	d (Month/Day/Ye	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicabl Line)			
WALTHAM	MA	02451					X	Form filed by One Reporting Person			
(City)	(State)	(Zip)							Form filed by Mo Person	re than One Rep	orting
		Table I - Non-	Derivative S	ecurities Acq	uired, Di	sposed of, o	or Ben	eficially	Owned		
1. Title of Security (Instr. 3)		D	2. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Inst 8)	. 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/05/2022		М		2,460	A	<b>\$0</b> <sup>(1)</sup>	6,521	D	
Common Stock	02/05/2022		М		1,028	A	<b>\$0</b> <sup>(1)</sup>	7,549	D	
Common Stock	02/05/2022		F		323	D	\$93.01	7,226	D	
Common Stock	02/05/2022		F		827	D	\$93.01	6,399	D	
Common Stock								2,041	Ι	By Savings Plan Trustee

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, calls, warrants, options, convertible securities)																								
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		6. Date Exercisable and Expiration Date		6. Date Exercisable and Expiration Date		Expiration Date		Expiration Date		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares														
Restricted Stock Units	(1)	02/05/2022		М			1,028	(2)	(2)	Common Stock	1,028	\$0.0000	6,711	D											
Restricted Stock Units	(1)	02/05/2022		М			2,460	(3)	(3)	Common Stock	2,460	\$0.0000	4,251	D											

Explanation of Responses:

1. Time-based restricted stock units (RSUs) that represent the right to receive one share of the Issuer's Common Stock per unit.

2. Vesting of RSUs and delivery of shares with respect to the RSUs that were converted from performance share units originally awarded on February 5, 2019 under the legacy United Technologies Long-Term Incentive Plan.

3. Vesting of RSUs and delivery of shares with respect to the RSUs originally awarded on February 5, 2019.

<u>/s/ Dana Ng as Attorney-In-</u>	02/08/2022
Fact	02/08/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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