SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

| | | | or Section 30(h) of the Investment Company Act of 1940 | | | |
|---|----------------------|----------|---|-------------------|---|---|
| 1. Name and Address of Reporting Person [*] <u>ROGAN THOMAS I</u> | | | 2. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> [UTX] | | ationship of Reporting Pe (all applicable) Director Officer (give title below) | erson(s) to Issuer 10% Owner Other (specify below) |
| (Last) ONE FINANC | (First) IAL PLAZA | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 07/23/2010 | | Vice President, Treasurer | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | vidual or Joint/Group Fili | ng (Check Applicabl |
| HARTFORD | CT | 06101 | | X | Form filed by One Re | porting Person |
| (City) | (State) | (Zip) | — | | Form filed by More th Person | an One Reporting |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | | | (A) or 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|----------------------------------|--|---|------------------------------|---|--------|---------------|-----------------------|---|---|---|--|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | | |
| Common Stock | 07/23/2010 | | М | | 26,400 | A | \$37.625 | 44,310 | D | | | |
| Common Stock | 07/23/2010 | | F | | 4,868 | D | \$70.9 | 39,442 | D | | | |
| Common Stock | 07/23/2010 | | F | | 14,010 | D | \$70.9 | 25,432 | D | | | |
| Common Stock | 07/26/2010 | | S | | 7,522 | D | \$71.5505 | 17,910 | D | | | |
| Common Stock (Career Restricted) | | | | | | | | 4,960 | D | | | |
| Common Stock | | | | | | | | 11,215.466 | Ι | By Savings Plan Trustee | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | (e.g., puls, cans, warrants, options, convertible securities) | | | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|------|--------|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | n of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Non- Qualified Stock Option (right to buy) | \$37.625 | 07/23/2010 | | М | | | 26,400 | 01/02/2004 | 01/01/2011 | Common Stock | 26,400 | \$0 | 0 | D | |

Explanation of Responses:

Remarks:



07/27/2010

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.