SEC Form 4

Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB AP	PROVAL
OMB Number:	3235-0287

0.5

Estimated average burden hours per response

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

HARTFORD CT 06101   (City) (State) (Zip)   Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction (Month/Day/Year) 3. Transaction (Month/Day/Year) 3. Transaction (Month/Day/Year) 3. Transaction (Month/Day/Year) 5. Amount (a ray (Month/Day/Year) 6. Ownership (Signed of (D) (Instr. 3, 4 and 5) 6. Ownership (Signed of (D) (Instr. 3, 4 and 5) 6. Ownership (Signed of (D) (Instr. 3, 4 and 5)   1. Title of Derivative Securities 2. Transaction Date 2. Transaction (Month/Day/Year) 2. Transaction (Month/Day/Year) 3. Transaction Date 5. Amount of Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Maericially Owned (e.g., puts, calls, warrants, options, convertible Securities) 6. Ownership Form: Direct (Instr. 3) 6. Ownership Form: Direct (Instr. 4)   1. Title of Derivative Securities 2. Conversion or Exercise (Month/Day/Year) 3. Transaction Date 3. Transaction Code (Instr. 4) 5. Number of Securities 6. Date Exercisable and Expiration Date (Month/Day/Year) 8. Price of Derivative Securities 9. Number of Derivative Securities 10. Ownership Derivative Securities   1. Title of Derivative Securities 2. Conversion Or Exercise (Month/Day/Year) 3. Aneemed Securities 4. Conversinp Derivative Secur		.,					ection 30(h)							-					
UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA 3. Date of Earliest Transaction (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable X Form filed by One Reporting Person Form filed by One Reporting Person Form filed by More than One Reported (i) (Instr. 4)   1. Title of Security (Instr. 3) 2. Transaction Derivative Security 3. Transaction (A. Deemed (e.g., puts, calls, warrants, options, convertible security (Instr. 3 and 4) 5. Number of Derivative Security (Instr. 3 and						UNITED TECHNOLOGIES CORP /DE/ [							(Check	(Check all applicable) X Director Officer (give title			10% Owr		
ONE FINANCIAL PLAZA   (Street)   HARTFORD CT 06101   (City) (State) (Zip)   Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3)   2. Transaction Definition 2. Transaction Definition 2. Transaction Definition 3. A Deemed   1. Title of Security (Instr. 3) 2. Transaction Definition 2. Transaction Definition 3. A Deemed 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Disposed of (D) (Instr. 3, 4 and 5) 6. Ownership of Transaction (I) (Instr. 4) 6. Ownership of Transaction (I) (I) (Instr. 4) 6. Ownership of Transaction (I) (I) (Instr. 4) 6. Ownership of Transaction (I) (I) (I) (I) (I) (I) (I) (I	(Last)		(First)	(Middle)											below)			below)	
HARTFORD CT 06101   (City) (State) (Zip)   Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction (Month/Day/Year) 3. Transaction Derivative Securities 3. Transaction Date (Month/Day/Year) 3. Transaction Derivative Securities 3. Transaction Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities (Instr. 4) 6. Ownership Form: Direct (I) (Instr. 4) 6. Ownership Form: Direct (I) (Instr. 4)   1. Title of Derivative Security (Instr. 3) 2. Transaction Date 2. Transaction (Month/Day/Year) 2. Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Ownership Form: Direct (I) (Instr. 4) 6. Ownership Form: Direct (I) (Instr. 4)   1. Title of Derivative Securities 2. Conversion or Exercise (Month/Day/Year) 3. Transaction Date 3. Transaction Date 3. Transaction Date 3. Transaction Derivative Securities 5. Number of Derivative Securities 6. Date Exercisable and Expiration Date (Month/Day/Year) 8. Price of Derivative Securities 9. Number of Derivative Securities 10. Ownership Derivative Securities   1. Title of Derivative Securities 2. Conversion or Exercise (Month/Day/Year) 3. Deemed Securities 4. Securities Securities </td <td colspan="5"></td> <td colspan="7"></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>																			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction (Month/Day/Year) 3. Transaction (Month/Day/Year) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Price 5. Amount of Securities 5. Number of Derivative Securities 6. Date Exercisable and Signed (A) or Disposed of (D) 7. Title and Amount of Securities 8. Price of Securities 8. Price of Derivative Securities 9. Number of Derivative Securities 10. Ownership Form: Securities   1. Title of Derivative Security 3. Transaction Date 3. A. Deemed Execution Date, if any (Month/Day/Year) 5. Number of Derivative Securities 6. Date Exercisable and Signed	l` '	ORD	СТ	06101		4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable Lin X Form filed by One Reporting Person Form filed by More than One Reporting Perso					
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction (Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) 6. Ownership Form: Direct (D) or Indirect (D) o	(City)		(State)	(Zip)															
Date (Month/Day/Year) Date (Month/Day/Year) Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (Month/Day/Year) Disposed Of (D) (Instr. 3, 4 and 5) Securities Price Securities Beneficially Owned (Instr. 3 and 4) Form: Direct (D) or Indirect (D) or Indirect		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Code V Amount (A) or (D) Price Transaction(s) (Instr. 3 and 4)   Transaction(s) (Instr. 3)   1. Title of Derivative Security (Instr. 3)   2. Derivative Security (Instr. 3) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Instr. 3) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Instr. 3) 5. Number of Derivative Securities 6. Date Exercisable and Execution Date (Month/Day/Year) 7. Title and Amount of Securities (Month/Day/Year) 8. Price of Derivative Securities 9. Number of Derivative Securities 10. Ownership Form: Disposed of (D) (Instr. 3, 4 and 5) 11. Dorivative Securities	Date			Date	Day/Year) Execution Date,		Transaction Disposed Of (D) (In Code (Instr.				15) Securities Beneficially Owned Following		Form: Direct (D) or Indirect		7. Nature of Indirect Beneficial Ownership				
1. Title of Derivative Security (Instr. 3) 2. Derivative Security 3. Transaction or Exercise Price of Derivative Security 3. Transaction or Exercise Security 3. Transaction Other Value (Month/Day/Year) 3. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Derivative Securities (Month/Day/Year) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Execution Date (Month/Day/Year) 7. Title and Amount of Security (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5) 9. Number of derivative Security (Instr. 4) 10. Ownership Form: Direct (D) Ownership Or Indirect (I) (Instr. 4)							Code	v	Amount	t l	(A) or (D)	Price	Transaction(s)				(instr. 4)		
Derivative Security (Instr. 3) Conversion or Exercise Derivative Security Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Securities Security (Instr. 3) Securities Underlying Derivative Security (Instr. 3 and 4) Derivative Security (Instr. 3) Derivative Security (Instr. 3) Derivative Security (Instr. 3) Derivative Security (Instr. 3) Derivative Security (Instr. 3) Derivative Security (Instr. 4) Derivative Security (Instr. 4) Derivative Security (Instr. 4) Derivative Security (Instr. 4) Ownership Security (Instr. 4																			
Amount or (Instr. 4)	Derivative Security	Conversion or Exercise Price of Derivative	Date	Execution Date, if any	Trans Code	(Instr.	Derivative Securities Acquired (A Disposed of (Instr. 3, 4 a	() or f (D)	Expiration Date			Securi Deriva	ties Un tive Se 3 and 4	derlying curity )	ying Derivative Security (Instr. 5)		ve es ially ng d tion(s)	Ownershi Form: Direct (D) or Indirect	Beneficia Ownersh (Instr. 4)

Phantom Stock Unit Explanation of Responses:

\$0.0000

1. Consists of Phantom Stock Units acquired at the election of the reporting person in lieu of his/her annual retainer for services as a non-employee director under a company program that permits him/her to defer receipt of the retainer. Upon termination of services on the Board of Directors, all such Phantom Stock Units are payable in cash.

Date

Exercisable

(1)

(D)

Expiration Date

(1)

Title

Common Stock

**Remarks:** 

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

Number of Shares

1,324.7139

\$94.36

01/06/2004

4,567.8324

D

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

01/02/2004

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

A

(A)

1,324.7139

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.