FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| <b>STATEMENT</b> | OF CHANGES | IN BENEFICIAL | <b>OWNERSHIP</b> |
|------------------|------------|---------------|------------------|

| OMB APPROVAL             |     |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |
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| hours per response:      | 0.5 |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  BUCKNALL WILLIAM L JR  |   |   |   | <u>UN</u>   | 2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [ UTX ] |  |     |  | (Che   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  Officer (give title Other (specify |  |   |  |   |  |
|--|---|---|---|---|---|--|-----|--|--|---|--|---|--|---|--|
| (Last) (First) (Middle) UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA  |   |   | 01/   | 3. Date of Earliest Transaction (Month/Day/Year) 01/03/2007  4. If Amendment, Date of Original Filed (Month/Day/Year) |   |  |     |  |  | X Officer (give title other (specify below)  SVP, Human Resources & Org.  Individual or Joint/Group Filing (Check Applicable    |  |   |  |   |  |
| (Street) HARTFOF   | RD CT   | te) (Z  | 6101<br>Zip)  | _   |   | ·  |     | Ů  |  | ŕ   | Line   | Form fi   | led by One Rolled by More tl   | eporting Person   | n  |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transar Date (Month/Da   |   |   | nsaction  | Execution Date, Transaction   |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 au 5) |     | l (A) or   | 5. Amount of 6. Securities For Beneficially (D |   | Ownership<br>orm: Direct<br>o) or Indirect<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |   |   |   |   |  |     |  |  |   |  |   |  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | nversion Exercise (Month/Day/Year) Execution if any (Month/Day if any (Month/Day) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8)   |   |  |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |  | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4)                                   |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)               | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownershi<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4 | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |   |   | Code  | v   | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date                             | Title   | Amount<br>or<br>Number<br>of<br>Shares                   |   |  |   |  |
| Stock<br>Appreciation<br>Right   | \$62.81   | 01/03/2007  |   | A   |   | 64,000   |     | 01/03/2010   | 01/02/2017                                     | Common<br>Stock   | 64,000   | \$0   | 64,000 <sup>(1)</sup>  | D   |  |

## Explanation of Responses:

1. The reporting person was also awarded 17,400 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

## Remarks:

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 01/05/2007

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.