SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response.	0.5								

0	2. Issuer Name and Ticker or Trading Symbol <u>UNITED TECHNOLOGIES CORP /DE/</u> [UTX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below)
(Middle) ZA	3. Date of Earliest Transaction (Month/Day/Year) 07/31/2013	Vice President, Treasurer
06101	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
5	ZA 06101	Mind Ferson UNITED TECHNOLOGIES CORP /DE/ [UTX] (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 07/31/2013 06101 4. If Amendment, Date of Original Filed (Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	rity (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	07/31/2013		М		22,600	A	\$46.76	49,706	D			
Common Stock	07/31/2013		S		2,000	D	\$106.1721(1)	47,706	D			
Common Stock	07/31/2013		S		2,000	D	\$106.1588(2)	45,706	D			
Common Stock	07/31/2013		S		2,456	D	\$106.159 ⁽³⁾	43,250	D			
Common Stock	07/31/2013		F		16,144	D	\$105.57	27,106	D			
Common Stock (Career Restricted)								4,960	D			
Common Stock								12,315	Ι	By Savings Plan Trustee		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$46.76	07/31/2013		М			22,600	01/09/2007	01/08/2014	Common Stock	22,600	\$0.0000	0.0000	D	

Explanation of Responses:

1. This price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$106.171 to \$106.178. Upon request, UTC will provide full information as to the shares sold at each separate price.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$106.151 to \$106.184. Upon request, UTC will provide full information as to the shares sold at each separate price.

3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$106.151 to \$106.168. Upon request, UTC will provide full information as to the shares sold at each separate price.

<u>/s/ Charles F. Hildebrand as</u> <u>Attorney-in-Fact</u>

08/01/2013

** Signature of Reporting Person

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.