FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b). 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) UNITED TECHNOLOGIES CORP /DE/ WHITMAN CHRISTINE TODD х Director 10% Owner UTX ] Officer (give title Other (specify below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) **ONE FINANCIAL PLAZA** 04/29/2013 (Street) 4. If Amendment, Date of Original Filed (Month/Dav/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) HARTFORD 06101 CT x Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2A. Deemed 7. Nature of 2. Transaction 5. Amount of 6. Ownership Code (Instr. 8) Securities Beneficially Owned Form: Direct (D) or Indirect Indirect Beneficial Execution Date Month/Day/Year) if any (Month/Day/Year) Following Reported Transaction(s) (Instr. 3 and 4) (I) (Instr. 4) Ownership (Instr. 4) (A) or (D) Code v Amount Price Common Stock 1.000 D Common Stock 1,500 T By Trust Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 5. Number of 6. Date Exercisable and 9. Number of 11. Nature 3. Transaction 7. Title and Amount of 8. Price of 10. Derivative Securities Acquired (A) or Securities Underlying Derivative Security (Instr. 3 and 4) Expiration Date (Month/Day/Year) Derivative Conversion Date Execution Date Transaction Derivative derivative Ownership of Indirect Security (Instr. 3) if any (Month/Day/Year) Security (Instr. 5) Securities Beneficially Form: Direct (D) or Exercise Price of (Month/Day/Year Code (Instr. 8) Beneficial Ownership Disposed of (D) (Instr. 3, 4 and 5) Owned Following Reported Derivative or Indirect (I) (Instr. 4) (Instr. 4) Security Transaction(s) Amount or (Instr. 4) Date Expiration Date Number of Shares (D) (A) Title Code Exercisable Phantom Stock Unit (1) 04/29/2013 А 1,800.9168 (1) (1) 1,800.9168 \$91.62 23,541.027 D Stock

Explanation of Responses:

1. Consists of Phantom Stock Units acquired by the reporting person as his/her annual award of deferred stock units for services as a non-employee director. Upon termination of service on the Board of Directors, all such Phantom Stock Units settle in shares of UTC Common Stock.

<u>/s/ Charles F. Hildebrand as</u> Attorney-in-Fact

05/01/2013

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.