SEC Form 4	
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### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response 0.5

	David L.       UNITED TECHNOLOGIES CORP /DE/ [ UTX ]       Check all applicable)         (First)       (Middle)         3. Date of Earliest Transaction (Month/Day/Year)       Officer (give title Other (specify below)         02/06/2017       3. Date of Coriginal Filed (Month/Day/Year)       Pres., UTC Aerospace Systems         GTON CT       06032       4. If Amendment, Date of Original Filed (Month/Day/Year)       6. Individual or Joint/Group Filing (Check Applicable Line)         X       Form filed by One Reporting Person Form filed by More than One Reporting					
1. Name and Address of Reporting Person <sup>*</sup> <u>Gitlin David L.</u>			UNITED TECHNOLOGIES CORP /DE/ [	(Check	all applicable) Director Officer (give title	10% Owner Other (specify
(Last) 10 FARM SPRIN	( )	(Middle)			,	,
(Street) FARMINGTON (City)	CT (State)	06032 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	Form filed by One Rep	orting Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock								2,640	Ι	By Savings Plan Trustee
Common Stock								6,586	I	By Spouse's Trust

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			ate	Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Appreciation Right	\$74.79	02/06/2017 <sup>(1)</sup>		A		7,569 <sup>(1)</sup>		02/06/2017	07/31/2022	Common Stock	7,569	\$0.0000	7,569	D	

#### Explanation of Responses:

1. On August 1, 2012, the reporting person was granted 75,690 performance stock appreciation rights, 50% of which became exercisable on February 9, 2015 and 50% of which became exercisable on December 31, 2016, in each case subject to and following confirmation of achievement of pre-established business unit earnings, sales and other financial targets. The performance criteria were satisfied at the 20% level for the second 50% of the grant, resulting in the vesting of 7,569 stock appreciation rights and the cancellation of 30,276 stock appreciation rights, both as of February 6, 2017.

/s/ Ariel R. David as Attorney-02/08/2017 in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.