FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										

(D) or Indirect (I) (Instr. 4)

Beneficial

(Instr. 4)

Ownership

Beneficially

Reported

Owned Following

(Instr. 3 and 4)

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			01 00		vestilient con	ilpany Act of 1940					
. Name and Address of Reporting Person* Dumais Michael R (Last) (First) (Middle) 10 FARM SPRINGS ROAD			UNI UTX 3. Dat	_	NOLOGIE	ÉS CORP /DE/ [5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) EVP, Operations & Strategy				
Street) FARMINGTON CT 06032 (City) (State) (Zip)		4. If Ai	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Form filed by One Form filed by Mor Person	e Reporting Pers	son		
		Table I - No	n-Derivative S	Securities Acq	uired, Dis	posed of, or Benefi	cially	Owned			
. Title of Security (Instr. 3) 2. Transac Date				2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	

Code (Instr.

8)

Code

(Month/Day/Year)

	01/03/2020		M		5,884	A	\$0 ⁽¹⁾	31,378	D			
	01/03/2020		F		1,714	D	\$153.35	29,664	D			
								1,783		By Savings Plan Trustee		

Amount

(A) or (D)

Price

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	osed D) tr. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	Derivative	Amount of Securities		Derivative Security (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units RSU	(1)	01/03/2020		М			5,884	01/03/2020	(2)	Common Stock	5,884	\$0.0000	0.0000	D			

Explanation of Responses:

Common Stock

Common Stock

Common Stock

- 1. Each restricted stock unit (RSU), including dividend equivalents that accrue during the vesting period, represents a contingent right to receive one share of UTC Common Stock.
- 2. On January 3, 2017, the reporting person was granted 5,500 RSUs, which included the right to receive dividend equivalents. On January 3, 2020, the third anniversary of the grant date, 5,884 RSUs, which included accumulated dividend equivalents, vested.

/s/ Ariel R. David as Attorneyin-Fact

01/07/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(Month/Day/Year)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.