FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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0.5

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response:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Last) (First) (Middle) 1000 WILSON BLVD. (Street) ARLINGTON VA 22209 | | g Statement Day/Year) 2024 | 3. Issuer Name and Tick RTX Corp [RTX 4. Relationship of Repor Issuer (Check all applicable) Director X Officer (give title below) President, | ting Person(s) 10% Ov Other (s below) | to 5. If Filed | d (Month/Day/\frac{\text{Advidual or Joineck Applicable}}{\text{Form filed b}} | nt/Group Filing Line) by One Reporting by More than One |
|---|--|---|---|--|---|--|--|
| (City) (State) (Zip) | | | | | | | |
| | Table I - N | on-Deriva | tive Securities Bene | eficially Ow | ned | | |
| 1. Title of Security (Instr. 4) | | 2. Amount of Securities Beneficially Owned (Inst 4) | | rect Owne direct | ture of Indirec ership (Instr. 5 | | |
| Common Stock | | | 12,232.186 | D | | | |
| Common Stock | | 2,201 | I | By S | avings Plan | Trustee | |
| (6 | | | re Securities Beneficants, options, conve | | | | |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of | 5. Ownership Form: Direct (D) | 6. Nature of Indirect Beneficial |
| | Date | Expiration | | Amount or Number of | Derivative Security | or Indirect (I) (Instr. 5) | Ownership (Instr. 5) |
| | Exercisable | Date | Title | Shares | | | |
| Restricted Stock Units | (1) | (1) | Common Stock | 12,181 | (1) | D | |
| Restricted Stock Units Restricted Stock Units | | | | | (1) | D D | |
| | (1) | (1) | Common Stock | 12,181 | | | |
| Restricted Stock Units | (1) 02/08/2024 | (1) | Common Stock Common Stock | 12,181 7,301 | (2) | D | |
| Restricted Stock Units Restricted Stock Units | (1) 02/08/2024 02/08/2026 | (1) (2) (2) | Common Stock Common Stock Common Stock | 12,181 7,301 2,837 | (2) | D D | |
| Restricted Stock Units Restricted Stock Units Restricted Stock Units | (1) 02/08/2024 02/08/2026 02/15/2025 | (1) (2) (2) (2) | Common Stock Common Stock Common Stock Common Stock | 12,181 7,301 2,837 2,894 | (2) | D D | |
| Restricted Stock Units Restricted Stock Units Restricted Stock Units Rockwell NQSP | (1) 02/08/2024 02/08/2026 02/15/2025 (3) | (1) (2) (2) (2) (3) | Common Stock Common Stock Common Stock Common Stock Common Stock | 12,181 7,301 2,837 2,894 4,549.7203 | (2) (2) (2) (3) | D D D D | |
| Restricted Stock Units Restricted Stock Units Restricted Stock Units Rockwell NQSP SRP Stock Unit | (1) 02/08/2024 02/08/2026 02/15/2025 (3) (4) | (1) (2) (2) (2) (3) (4) | Common Stock Common Stock Common Stock Common Stock Common Stock Common Stock | 12,181 7,301 2,837 2,894 4,549.7203 1,259.1675 | (2) (2) (2) (3) (4) | D D D D D | |
| Restricted Stock Units Restricted Stock Units Restricted Stock Units Rockwell NQSP SRP Stock Unit Stock Appreciation Right | (1) 02/08/2024 02/08/2026 02/15/2025 (3) (4) 02/05/2022 | (1) (2) (2) (2) (3) (4) (02/04/2029 | Common Stock | 12,181 7,301 2,837 2,894 4,549.7203 1,259.1675 47,052 | (2) (2) (2) (3) (4) 71.62 | D D D D D D D | |
| Restricted Stock Units Restricted Stock Units Restricted Stock Units Rockwell NQSP SRP Stock Unit Stock Appreciation Right Stock Appreciation Right | (1) 02/08/2024 02/08/2026 02/15/2025 (3) (4) 02/05/2022 02/08/2024 | (1) (2) (2) (2) (3) (4) 02/04/2029 02/07/2031 | Common Stock | 12,181 7,301 2,837 2,894 4,549.7203 1,259.1675 47,052 16,100 ⁽⁵⁾ | (2) (2) (2) (3) (4) 71.62 72.49 | D D D D D D D D D | |

Explanation of Responses:

- 1. These Restricted Stock Units ("RSUs") were awarded on January 28, 2020 in connection with the reporting person's membership in the RTX Corporation ("RTX") (formerly United Technologies Corporation ("UTC")) Executive Leadership Group ("ELG"). Following three years of ELG service, each RSU, including accumulated dividend equivalents, represents a right to receive one share of RTX Common Stock upon a Qualifying Separation from RTX (as defined in Exhibit 10.6 of RTX's 2022 Form 10-K).
- 2. Each RSU, including dividend equivalents that accrue during the vesting period, represents a contingent right to receive one share of RTX Common Stock.
- 3. Each Rockwell Non-Qualified Savings Plan ("NQSP") stock unit is the economic equivalent of one share of RTX Common Stock. The reported NQSP stock units were acquired under legacy Rockwell Collins' NQSP, an excess benefit plan, and are to be settled upon the reporting person's retirement or other termination of service.
- 4. Each Savings Restoration Plan ("SRP") stock unit is the economic equivalent of one share of RTX Common Stock. The reported SRP stock units were acquired under legacy United Technologies Corporation's Savings Restoration Plan, an excess benefit plan, and are to be settled upon the reporting person's retirement or other termination of service.
- 5. In February 2021, in addition to the stock appreciation rights ("SARs") and not included in this number, the reporting person was also awarded 7,080 performance share units ("PSUs") under the RTX Long-Term Incentive Plan. Each PSU has a value equal to one share of RTX Common Stock. These PSUs vest solely upon achievement of pre-established performance goals for RTX's return on invested capital ("ROIC"), earnings per share ("EPS) growth and total shareholder return ("TSR") relative to the S&P 500 and aerospace & defense peer companies. The performance period for EPS growth and ROIC is one-year, followed by a two-year holding period. Both TSR goals have a three-year performance period.

6. In February 2022, in addition to the SARs and not included in this number, the reporting person was also awarded 5,745 PSUs under the RTX Long-Term Incentive Plan. Each PSU has a value equal to one share of RTX Common Stock. These PSUs vest solely upon achievement of pre-established performance goals for RTX's ROIC, EPS growth and TSR relative to the S&P 500 and aerospace & defense peer companies over a three-year performance period.

7. In February 2023, in addition to the SARs and not included in this number, the reporting person was also awarded 5,530 PSUs under the RTX Long-Term Incentive Plan. Each PSU has a value equal to one share of RTX Common Stock. These PSUs vest solely upon achievement of pre-established performance goals for RTX's ROIC, EPS growth and TSR relative to the S&P 500 and aerospace & defense peer companies over a three-year performance period.

Remarks:

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/s/ Michelle G. Gewandter, as Attorney-In-Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

KNOW ALL PERSONS BY THESE PRESENTS that the undersigned hereby constitutes and appoints Ramsaran Maharajh, Jr., Edward G. Perrault, Richard A. Calame, Michelle

G. Gewandter and Jennifer Yahl to be his or her true and lawful attorney-in-fact and agent to execute and file for and on behalf of the undersigned (i) any reports on Forms 3, 4, 5 and, if applicable, 144 (including any amendments thereto and any successors to such Forms) with respect to ownership of securities of RTX Corporation (the "Company") that the undersigned may be required to file with the Securities and Exchange Commission in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder and/or Rule 144 under the Securities Act of 1933, and (ii) as necessary, any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to file such reports electronically.

The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 and/or Rule 144 under the Securities Act of 1933.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, 5 and, if applicable, 144 with respect to the undersigned's holdings and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorney-in-fact. This Power of Attorney also serves to revoke and replace as of the date hereof, any prior Power of Attorney executed by the undersigned with respect to the ownership of securities of the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 5th day of January, 2024.

/s/ Philip J. Jasper Name: Philip J. Jasper